

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

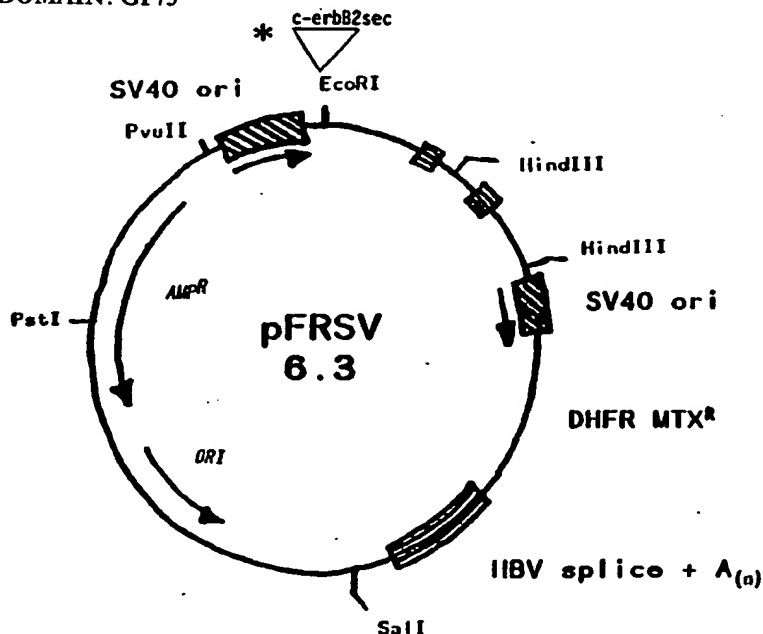
## **IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
Please do not report the images to the  
Image Problem Mailbox.

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>5</sup> :  C12N 15/12, 9/12, 15/62  C12N 1/19, 1/21, 5/10  C12N 15/63, G01N 35/53  A61K 39/00, C07K 13/00, 15/00  C12P 21/08, A61K 39/395, 37/02</p>	A2	<p>(11) International Publication Number: <b>WO 91/02062</b></p> <p>(43) International Publication Date: 21 February 1991 (21.02.91)</p>
<p>(21) International Application Number: PCT/US90/04340</p> <p>(22) International Filing Date: 2 August 1990 (02.08.90)</p> <p>(30) Priority data:  389,920 4 August 1989 (04.08.89) US</p> <p>(71) Applicant: TRITON BIOSCIENCES, INC. [US/US];  1501 Harbor Bay Parkway, Alameda, CA 94501 (US).</p> <p>(72) Inventors: STUART, Susan, G. ; 1256 Birch Street, Montara, CA 94037 (US). MONAHAN, John, J. ; 19 Tara-brook Drive, Orinda, CA 94563 (US). LANGTON, Bea-trice, Claudia ; 111 Amigo Lane, Walnut Creek, CA 94596 (US). HANCOCK, Miriam, E., C. ; 717 Carlston Avenue, Oakland, CA 94610 (US). CHAO, Lorraine, A. ; 355 Serrano Drive #6F, San Francisco, CA 94132 (US). BLUFORD, Peter ; 5319 Conestoga Way, Richmond, CA 94803 (US).</p>	<p>(74) Agent: PARKER, David, L.; Arnold, White &amp; Durkee, P.O. Box 4433, Houston, TX 77210 (US).</p> <p>(81) Designated States: AT (European patent), AU, BE (Euro-pean patent), CA, CH (European patent), DE (Euro-pean patent)*, DK (European patent), ES (European pa-tent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (Eu-ropean patent), SE (European patent).</p> <p>Published  Without international search report and to be republished upon receipt of that report.</p>	

(54) Title: C-ERBB-2 EXTERNAL DOMAIN: GP75



## (57) Abstract

Disclosed are methods and compositions for identifying malignant tumors that overexpress the c-erbB-2 oncogene. Assays useful for diagnosis and prognosis of neoplastic disease are provided which detect the external domain of c-erbB-2, the glycoprotein gp75 and quantitate the level of gp75 in the biological fluids of mammals carrying a tumor burden. Further disclosed are recombinant, synthetically and otherwise biologically produced novel proteins and polypeptides which are encoded by the external domain DNA sequence of the c-erbB-2 oncogene (the gp75 gene) or fragments thereof. Such gp75 proteins and polypeptides are useful as vaccines, therapeutically in the treatment of cancer either alone or in combination with chemotherapeutic agents. Also disclosed are antibodies to such gp75 proteins and polypeptides which are useful diagnostically and therapeutically. Still further disclosed are test kits embodying the assays of this invention.

### DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Monaco
AU	Australia	FI	Finland	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	IT	Italy	PL	Poland
CA	Canada	JP	Japan	RO	Romania
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	LJ	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
DE	Germany	LU	Luxembourg	TD	Chad
DK	Denmark			TG	Togo
				US	United States of America

5

C-erbB-2 External Domain: GP75

10

15 This invention is in the fields of biochemical engineering and immunochemistry. More particularly, this invention relates to recombinant DNA molecules expressed in appropriate host organisms as well as novel proteins and polypeptide fragments thereof which can be produced recombinantly, synthetically or by other means, such as, the fragmentation of biologically produced proteins and polypeptides. The recombinant DNA molecules of this invention are characterized by the DNA which codes for proteins and polypeptides from the external domain of the c-erbB-2 oncogene which is herein designated glycoprotein 75 (gp75). The serologically active, immunogenic and/or antigenic proteins and polypeptides are useful as reagents for the immunological detection of gp75 in the body fluids of cancer patients enabling a diagnostician to make important judgements about the status and prognosis of the patients, and for the production of antibodies and for affinity purification. Central to this invention are diagnostic assays designed to detect gp75 in body fluids of mammals. The expressed or synthetically or biologically produced proteins and polypeptides of this invention are further useful as vaccines for enhancing the immunological responses of cancer patients to tumorigenic activity and of recovered cancer patients to subsequent tumorigenic challenge. Still further, said gp75 proteins and polypeptides are useful therapeutically in dampening the tumorigenic activity of c-erbB-2 expressing cells.



-2-

The mechanism for malignancy of mammalian cells has been and continues to be the subject of intense investigation. One of the most promising areas is the elucidation of how oncogenes are turned on and turned off. A number of oncogenes have been shown to play an important role in causing cancer. The proteins encoded by oncogenes function abnormally and seem to play a part in ordaining the transformation of a normal cell into a cancer cell. Oncogenes were first detected in retroviruses, and then cellular counterparts of the viral oncogenes were found. A retroviral gene responsible for rapid oncogenesis was first identified in the early 1970's in Rous sarcoma virus (RSV), which causes cancer in chickens; the gene was named src, for sarcoma. In 1975, it was found that the viral src gene (v-src) has a nearly exact copy in all chicken cells; the cellular counterpart of v-src is c-src.

A score of oncogenes have since been isolated from retroviruses that variously cause carcinoma, sarcoma, leukemia or lymphoma in chickens, other birds, rats, mice, cats or monkeys. In each case, the oncogene has been found to be closely related to a normal gene in the host animal and to encode an oncogenic protein similar to a normal protein.

Oncogenes were also discovered in human and animal tissues. Genes in the DNA of various kinds of tumor cells, when introduced by transfection into normal cultured cells, transform them into cancer cells. Such oncogenes are also virtual copies of proto-oncogenes. Whatever the specific mechanism converting a proto-oncogene into an oncogene may be, an oncogene exerts its effect by way of the protein it encodes. The products of the proto-oncogenes from which oncogenes are derived appear to have roles that are critical in the regulation

of cell growth and differentiation and in embryonic development. Transforming proteins may have their profound effects on cells because they disturb these fundamental cellular processes.

5

Enzymatic activity in catalyzing the addition of a phosphate molecule to an amino acid (phosphorylation) is known to be important in the control of protein function. The enzymes that phosphorylate proteins are called protein  
10 kinases (from the Greek kinein, "to move"). Almost one-third of all the known oncogenes code for protein kinases specific for tyrosine residues.

Epidermal growth factor (EGF) and platelet-derived  
15 growth factor (PDGF), when added to a culture of nondividing cells, stimulate the cells to divide. EGF and PDGF deliver their signal by binding to specific protein receptors embedded in the cell's plasma membrane. When the receptor protein for EGF was isolated, it was found to be  
20 associated with tyrosine kinase activity, which is stimulated when an EGF molecule binds to the receptor. The PDGF receptor was then shown to have similar enzymatic function.

25 A human proto-oncogene having tyrosine kinase activity was identified by three research groups: Semba et al., PNAS(USA), 82: 6497 (1984) (designating the gene c-erbB-2); Coussens et al., Science, 230:1132 (1985) (designating the gene HER2); and King et al., Science,  
30 229:974 (1985) (designating the gene MAC117). A related rat gene (designated neu) was reported by Schecter et al., Science, 229:976 (1985). Amplification of the gene and/or increased translation of expression of the gene has been  
observed in tumor cells and cell lines. [See, for  
35 example, Fukushige et al., Mol. Cell. Biol., 6:955 (1986) where amplification and elevated expression (mRNA) of the

gene were observed in the MKN-7 gastric cell line; Coussens et al., supra, where elevated transcription of the gene was observed in cell lines from a hepatoblastoma, a Ewing sarcoma, a rhabdomyosarcoma, two neuroblastomas, and a Wilms tumor; Semba et al., supra, where the gene was observed to be amplified in a human salivary gland adenocarcinoma; King et al., supra, where amplification was observed in a mammary carcinoma cell line; Yokota et al., Lancet, I:756 (1986) where amplification of the gene was observed in breast, kidney and stomach adenocarcinomas; and Tal et al., Cancer Res., 48:1517 (1988) where sporadic amplification of the gene was found in adenocarcinomas of various tissues.]

The c-erbB-2 receptor is closely related to but distinct from the EGF receptor. Like the EGF receptor, the c-erbB-2 protein has an extracellular domain, a transmembrane domain that includes two cysteine-rich repeat clusters, and an intracellular kinase domain; but the c-erbB-2 protein has a molecular weight of 185,000 daltons (185 kd) whereas the EGF receptor has a molecular weight of about 170k [Schechter et al., Nature, 312:513 (1984)]. Hunter, Sci. Am., 251: 70 at 77 (1984), postulates that the c-erbB-2 protein (gp185) mimics the tyrosine kinase action of the EGF receptor but in an unregulated way.

Tyrosine kinases can be divided into two functional groups: those in which the product of the c-src gene is a prototype, and those that function as cell surface receptors. At least twelve mammalian tyrosine kinases have been identified as being associated with cellular growth factors or their receptors. Three of these oncogenes share strong homology with growth factors [c-sis with platelet-derived growth factor (PDGF), hst and int2 with fibroblast growth factor (FGF)]. Others share strong

-5-

homology with the growth factor receptors [c-erbB with the epidermal growth factor (EGF) receptor, fms with the colony-stimulating factor (CSF-1) receptor] for which ligands have been identified. The remaining seven, namely eph, c-erbB-2, c-kit, met, ret, c-ros, and trk, may be receptors with ligands, but to date the ligands have not been identified.

There is now mounting evidence that some cells become tumorigenic due to alterations in their cell surface receptors. These alterations can consist of genetic rearrangements, point mutations, or gene amplifications at the DNA, RNA, or protein level [Drebin et al., Oncogene, 2:387 (1988); Bargmann et al., Cell, 45:649 (1986); Der, Clin. Chem., 33:641 (1987)]. Although some of the above-referenced receptors are present on the surface of normal cells, and the overexpression of certain oncogenes has been shown to correlate with tumorigenic activity; such is the case of c-erbB-2.

20

It has now been observed that the c-erbB-2 oncogene, which is capable of transforming cells to malignancy, is present in some tumors at very high levels [Zhou et al., Cancer Research, 47:6123 (1987); Berger et al., Cancer Research, 48:1238 (1988); Kraus et al., The EMBO Journal, 6(3):605 (1987); and Slamon et al., Science, 235:177 (1987)]. The expression of the c-erbB-2 oncogene, and its location in the external membrane of cells appears to be closely associated with cancer [Kraus et al., id; Slamon et al., id; Drebin et al., Cell, 41:695 (1985); and Di Fiore et al., Science, 237:178 (1987)]; it may, in fact, be the primary event in the development of cancer in at least some cases [Muller et al., Cell, 54:105 (1988)]. Overexpression of the c-erbB-2 protein on the surface of normal cells appears to cause them to be transformed or otherwise behave as tumor cells. [Drebin et al., supra;

35

Di Fiore et al., supra; and Hudziak et al., PNAS (USA),  
84:7159 (1987).]

5 Further, patients with high levels of expression of  
the c-erbB-2 oncogene have been shown to have a very poor  
clinical prognosis [Slamon et al., Science, 235:177  
(1987)]. This correlation between the overexpression of  
c-erbB-2 and a poor prognosis can yield information of  
both diagnostic and prognostic value [Kraus et al., The  
10 EMBO Journal, 6:605 (1987); and Slamon et al., id]. A  
decision on the extent of clinical therapy required by the  
patient can be made based on the ability to detect  
overexpression of the c-erbB-2 oncogene or protein.

15 Antibodies can be used to detect c-erbB-2 expressed  
in tumor tissues by tissue slice evaluation or  
histopathology. The methodology has demonstrated that  
useful prognostic indications can be achieved [van de  
Vijver et al., Mol. and Cell Biol., 7:2019 (1987); Zhou et  
20 al., Cancer Res., 47:6123 (1987); Berger et al., Cancer  
Res., 48:1238 (1988); Kraus et al., supra (1987); and  
Slamon et al., supra]. There are, however, many cases in  
which tissue is not readily available or in which it is  
not desirable or not possible to withdraw tissue from  
25 tumors. Therefore, there is a need in the medical art for  
rapid, accurate diagnostic tests that are convenient and  
non-traumatic to patients. The invention claimed herein  
meets said need by providing for non-invasive diagnostic  
assays to detect overexpression of c-erbB-2 in mammals.

30

Smith et al., Science, 238:1704 (1987), reported that  
excess of a soluble membrane receptor (CD4 antigen) blocks  
HIV-1 infectivity.

35

Soluble, secreted forms of CD4 were produced by  
transfection of mammalian cells with vectors encoding

-7-

versions of CD4 lacking its transmembrane and cytoplasmic domains. The soluble CD4 produced is reported to bind HIV-1's envelope glycoprotein (gp120) with an affinity and specificity comparable to intact CD4.

5

Weber and Gill, Science 224:294 (1984), reported that human epidermoid carcinoma A431 cells in culture produce a soluble 105 kd protein which they determined to be related to the cell surface domain of the EGF receptor. They  
10 further determined that the soluble receptor 105 kd protein was not derived from the membrane-bound intact receptor but separately produced by the cell.

Hearing et al., J. Immunol., 137(1) :379 (1986),  
15 demonstrated that the immunization of mice with a purified mouse melanoma-specific antigen conferred resistance to subsequent challenge with mouse melanoma cells in a syngeneic host.

Bernards et al., PNAS (USA), 84:6854 (1987),  
20 demonstrated that a recombinant vaccinia virus expressing the external domain, the transmembrane anchor domain and about 50 amino acids of the intracellular domain of the rat equivalent of the human c-erbB-2 oncogene, the "neu"  
25 oncogene, when used to immunize mice, conveyed protection to a subsequent challenge with neu expressing tumor cells. It is noted therein that the ectodomain (external domain) of the rat neu protein is a highly immunogenic determinant in tumor-bearing mice (strain NFS).

30

Aaronson et al., NTIS (National Technical Information Service) application entitled "A Human Gene Related to but Distinct from EGF Receptor Gene." (USSN 6-836,414; filed  
March 5, 1986), describes the cloning, isolation and  
35 partial characterization of a v-erbB related human gene that is a member of the tyrosine kinase encoding family of

-8-

genes and is amplified in a human mammary carcinoma. Said gene has been determined to be c-erbB-2. That application describes as objects thereof to provide the following: antibodies directed against the protein product encoded by said gene and a diagnostic kit containing said antibodies for the detection of carcinomas; products encoded by the gene; cDNA clones being able to express the protein in a heterologous vector system; transformed cells or organisms capable of expressing the gene; and nucleic acid probes and/or antibody reagent kits capable of detecting said gene or protein product. Said application further suggests the therapeutic use of antibodies specific for the gene product which have been conjugated to a toxin, and suggests that if a ligand exists for the v-erbB related gene that it also could be used as a targeting agent.

Cline et al., U.S. Patent No. 4,699,877 (filed Nov. 20, 1984), describes methods and compositions for detecting the presence of tumors, wherein a physiological sample is assayed for the expression product of an oncogene.

Di Fiore et al., Science, 237:178 (1987), notes that a wide variety of human tumors contain an amplified or overexpressed erbB-2 gene. To establish that a ligand-receptor interaction was not required for transformation by the erbB-2 protein, Di Fiore et al. engineered constructs such that sequences encoding the NH2-terminal 621 amino acids (from the external domain) were deleted. Their findings suggested that the NH2-terminal truncation, "if anything, increased the transforming activity of the erbB-2 protein" (at p. 180).

Aboud-Pirak et al., J. Natl Cancer Inst., 80(20):1605 (1988), reports that monoclonal antibodies against the

extracellular domain of the EGF receptor reduced in vitro clone formation of human oral epidermoid carcinoma cells. When the anti-EGF receptor antibodies were added together with cisplatin, the antitumor effect of these agents was shown to be synergistic in vivo.

Berger et al., Cancer Res., 48:1238 (1988), reported that thirteen of 51 DNA samples (25%) from primary human breast tumors contained multiple copies of the c-erbB-2 gene, and observed that there was a statistically significant correlation between c-erbB-2 protein expression and parameters used in breast cancer prognosis (nodal status and nuclear grading). Berger et al. noted that recent studies have shown that c-erbB-2 is amplified in up to 33% of the primary breast tumors examined [King et al., supra; Slamon et al., supra; van de Vijver et al., supra; and Venter et al., Lancet 2:69 (1987)] and in up to 25% of human breast cancer cell lines [Kraus et al., supra].

Slamon et al., supra (1987), demonstrated that amplification of the c-erbB-2 gene was correlated with the presence of tumor in the axillary lymph nodes, with estrogen receptor status, and the size of the primary tumor in breast cancer patients. In that study, c-erbB-2 was found to be amplified from 2- to greater than 20-fold in 30% of the 189 primary human breast cancers investigated. Slamon et al. concluded that amplification of the c-erbB-2 gene was a significant predictor of both overall survival and time to relapse in patients with breast cancer. Patients with multiple copies of the gene in DNA from their tumors had a poorer disease outcome with shorter time to relapse as well as a shorter overall survival.



Slamon et al., Cancer Cells 7/Molecular Diagnostics of Human Cancer, p. 371 (Cold Spring Harbor Lab. 1989), reported that sequence analysis of several cDNA clones from human breast cancer tumors indicates that, unlike the  
5 rat neu gene, mutations in the transmembrane domain may not be an absolute requirement for alteration of the gene product. Instead, the data are consistent with an alteration involving overexpression of a normal product.

10 Drebin et al., Cell, 41:695 (1985), reported that a monoclonal antibody against neu gp185 causes neu-transformed NIH 3T3 cells to revert to a nontransformed phenotype, as evidenced by loss of capacity for anchorage-independent growth. Drebin et al,  
15 Oncogene, 2:387 (1988), demonstrated that monoclonal antibodies reactive with the cell surface external domains of gp185 can directly inhibit tumor growth in vitro and in vivo.

20 Masuko et al., Japn. J. Cancer Res., 80:10 (1989), describes a murine IgM monoclonal generated against human c-erbB-2 gene-transfected NIH 3T3 cells, that was reactive with a portion of epithelial tumor cell lines including stomach cancer, colon cancer and liver cancer cell lines,  
25 but not with any non-epithelial cell lines.

Yarden and Weinberg, PNAS(USA), 86:3179. (1989), using the neu oncogene as a model system, developed several experimental approaches for the detection of hypothetical  
30 ligands for oncogenes encoding transmembrane tyrosine kinases that have structures reminiscent of growth factor receptors. Suggested therein is a candidate ligand of the neu-encoded oncoprotein secreted by fibroblasts upon transformation by ras oncogenes.

-11-

The following papers provide a general description of oncogenes, the use of monoclonal antibodies as therapeutic drugs and information about the c-erbB-2 oncogene: Der, Clin. Chem, 33(5) :641 (1987); Bishop, Science, 235:305 (1987); Henrik and Westermarck, Cell, 37:9 (1984); Duesberg, Science, 228:669 (1985); Shively, J. Clin. Immunoassay, 7(1):112 (1984); van de Vijver, Oncogenes 2:175 (1988); and Hunter, Sci. Am, 251:70 (1984).

10     Summary of the Invention

15     Methods and compositions are provided for identifying malignant tumors that overexpress c-erbB-2. The invention claimed herein is based on the detection of the external domain glycoprotein (gp75) or parts thereof encoded by the c-erbB-2 gene in the biological fluids of mammals carrying a tumor burden. The invention provides for specific diagnostic assays to detect and quantitate gp75 in the biological fluids of mammals, and thereby detect tumors, quantitate their growth, and provide valuable information for the diagnosis and prognosis of neoplastic disease. An elevated level of gp75 in a host's body fluid, that is, above the normal background binding level, is indicative of overexpression of c-erbB-2. (An exemplary background binding level is shown in Figure 10 as 1.68% for a series of normal human sera.)

30     The survival of a patient with neoplastic disease, such as breast or ovarian adenocarcinoma among other cancers associated with c-erbB-2 amplification, can be determined by testing a biological fluid from the patient for the presence of gp75 or parts thereof.

35     Further, this invention provides for assays to detect and quantitate antibodies to gp75 proteins/polypeptides in the body fluids of patients. Such assay results

-12-

especially in correlation with the results of assays of this invention that determine the level of gp75 proteins/polypeptides in a patient's body fluids provide important information for diagnosing and monitoring the patient's condition deciding upon a course of treatment and in making a prognosis.

Still further, this invention provides for assays to detect and quantitate the level of the putative ligand to gp75 in a patient's body fluid. Similarly such information especially in correlation with the results of assays, herein provided, that detect and quantitate the level of gp75 proteins/polypeptides and antibodies thereto in a patient's body fluids, is of diagnostic and prognostic significance and useful in monitoring the patient's condition and in determining a course of treatment.

As indicated in the Background above, c-erbB-2 amplification has been found to correlate with both a decreased chance of long term survival as well as a shortened time to relapse of the disease. The assays of this invention are useful both pre- and post-operatively. Patients displaying such c-erbB-2 amplification, even at relatively early stages of the disease, may be treated more rigorously in order to increase their chances for survival. Further, the presence of gp75 in a patient's biological fluid after an operation to remove a tumor may indicate metastases requiring immediate intervention, e.g., systemic chemotherapy or radiation therapy.

The present invention fills the need referred to above for non-invasive diagnostic and prognostic assays for the detection of tumors over xpressing c-erbB-2.

-13-

Further, this invention is directed to novel proteins and polypeptides encoded by the external domain DNA sequence of the c-erbB-2 oncogene (hereinafter, the gp75 gene) or fragments thereof and to the biochemical engineering of the gp75 gene or fragments thereof into suitable expression vectors; transformation of host organisms with such expression vectors; and production of gp75 proteins and polypeptides by recombinant, synthetic or other biological means. Such recombinant gp75 proteins and polypeptides can be glycosylated or nonglycosylated, preferably glycosylated, and can be purified to substantial purity according to methods described herein. The invention further concerns such gp75 polypeptides and proteins which are synthetically or biologically prepared.

One use of such gp75 proteins and polypeptides is as vaccines. Further, vaccines which effectively provide gp75 epitopes to the immune system can comprise enriched cell membranes that overexpress gp75 or gp185. Such membranes can be derived from recombinant hosts transformed to overexpress c-erbB-2, preferably those overexpressing c-erbB-2 in a form having a truncated internal domain, or from human cancer cell lines. Further useful as vaccines are the anti-idiotypic antibodies provided by this invention.

Another use of such gp75 proteins and polypeptides is as therapeutic agents to dampen tumorigenic activity either alone or in combination with chemotherapeutic agents.

A still further use of such gp75 proteins and polypeptides is to detect the putative ligand to c-erbB-2 in affinity binding studies. Should the ligand be so detected in biological fluids of mammals, it may then be purified by the use of the gp75 proteins and polypeptides

-14-

of this invention; for example, the gp75 proteins and polypeptides may be used in a process to purify the ligand produced by genetic engineering.

5 Further this invention concerns recombinant DNA molecules comprising a DNA sequence that encodes not only a gp75 protein or polypeptide but also an amino acid sequence of a protein/polypeptide which is not immunogenic to humans and which is not typically reactive to  
10 antibodies in human body fluids. An example of such a DNA sequence is the alpha-peptide coding region of beta-galactosidase. Further, claimed herein are such recombinant fused protein/polypeptides which are substantially pure and non naturally occurring.

15 Further, this invention concerns purified and isolated DNA molecules comprising the gp75 gene or fragments thereof.

20 A further aspect of this invention relates to the diagnostic and therapeutic use of antibodies to such gp75 proteins and polypeptides. A still further aspect of this invention are anti- idiotypic antibodies to such antibodies to gp75 proteins and polypeptides.

25 A still further aspect of this invention relates to diagnostic assays for gp75 employing the recombinantly, synthetically or otherwise biologically produced gp75 proteins and polypeptides of this invention and/or  
30 antibodies thereto.

The invention also provides for test kits that embody the assays of this invention in which test kits comprise antibodies gp75 proteins/polypeptides and/or antibodies to  
35 the intact external domain of c-erbB-2 ("intact" herein indicates that the gp75 is expressed on the surface of

-15-

cells). These assays can be solid phase assays but are not limited thereto, but can also be in a liquid phase format and can be based on ELISAs, particle assays, radiometric or fluorometric assays either unamplified or amplified, using, for example, avidin/biotin technology.

The invention further provides for anti-idiotypes to monoclonal antibodies recognizing gp75 proteins/polypeptides which can substitute for gp75 proteins/polypeptides in the diagnostic assays of this invention.

Figure 1 is an immunoaffinity chromatogram of recombinant c-erbB-2 extracellular domain protein (gp75) expressed from CHO cells. Concentrated CHO supernatant was loaded on the 0.5 X 5.0 cm immunoaffinity column at a flow rate of 0.2  $\mu$ l/min. The column was then washed with PBS at 0.5  $\mu$ l/min until the absorbance at 280 nm ( $A_{280}$  nm) of the column effluent reached baseline. Specifically bound material was eluted with a step gradient, indicated by the arrow, of 100 mM glycine-HCl, pH=2.5, at a flow rate of 0.2  $\mu$ l/min.

Figure 2A is a SDS-PAGE of immunoaffinity column fractions of recombinantly produced c-erbB-2 gp75, as described above for Figure 1. Samples of each fraction were prepared in Laemmli sample buffer and run on a 10% polyacrylamide gel. The gel was stained with Coomassie blue R-250.

Figure 2B is a Western blot. A gel identical to that run in Figure 2A was run, and the separated proteins were electrophoretically transferred to a 0.22  $\mu$ m nitrocellulose membrane. The membrane was probed using rabbit polyclonal antibody raised against an *E. coli* expressed recombinant fragment of gp185 (antibody 92A).

-16-

Specifically bound antibody was visualized using goat anti-rabbit horseradish peroxidase conjugate and Indophane substrate (Vio-medics, Worcester, MA). The lanes were loaded as follows: Lane 1: concentrated CHO supernatant; Lane 2: prestained molecular weight standards (Bethesda Research Laboratories, Gaithersburg, MD); and Lanes 3-7: immunoaffinity column fractions 1-5 (as indicated in Figure 2A).

Figure 3 shows a partial restriction map of the cloning vector, pFRSV. This vector contains a transcription unit driven by an SV40 early region promoter and origin, as well as the SV40 large T antigen intervening sequence (5' mRNA splicing) and early region polyadenylation site. A second transcription cassette contains a mutant DHFR gene, the dominant selectable marker encoding resistance to methotrexate (MTX). The 2.2 kb c-erbB-2sec fragment was subcloned into the unique EcoRI site located downstream from the first SV40 ori/promoter to generate pFRSV-c-erbB-2sec.

Figure 4 is a SDS-PAGE wherein the lanes were loaded as follows: Lanes 1 and 9: Std; Lanes 2-6: A soluble fragment of c-erbB-2 expressed by NIH3T3 c-erbB-2 transfectants competes with gp75 for binding to anti-c-erbB-2 TAB 252; Lanes 2-6: Increasing amount of cell lysate from NIH3T3-c-erbB-2 were incubated for 7 h with 10  $\mu$ g anti-c-erbB-2 TAB 252, followed by 10 h incubation of 400  $\mu$ l of in vitro labeled supernatant collected from gp75-expressing CHO cells; Lane 7: Lysate from in vitro labeled gp75 CHO clone immunoprecipitated with isotype matched non-specific control, mouse myeloma mAb, (IgG1); Lane 8: Lysate from CHO-gp75 immun precipitated with TAB 252.

-17-

Figure 5 shows the radioimmunoprecipitation of gp75 from tissue culture supernatants. Lanes 1 and 12: Molecular weight markers; Lanes 3-6: Supernatant from SKBR3 cells concentrated 12X and precipitated with 9.2 rabbit polyclonal - Lane 3; Lane 4: A-29 murine anti-c-erbB-2 hybridoma parent; Lane 5: TAb 252 murine anti-c-erbB-2 monoclonal; Lane 6: Amersham murine anti-EGF receptor monoclonal; Lanes 7-10: Supernatant from 3T3 cells transformed with the c-erbB-2 oncogene concentrated 6X; medium was not concentrated enough to visualize a precipitable signal.

Figure 6 shows the radioimmunoprecipitation of supernatants from c-erbB-2 positive and negative cell lines. Lanes 1 and 12: Molecular weight markers; Lanes 2 and 4: Supernatant from MDA435 concentrated 12X and precipitated with TAb 252; Lanes 3 and 5: The same supernate precipitated with Amersham anti-EGF receptor antibody; Lanes 6 and 7: 12X concentrated supernatant from MDA468 cultures precipitated with TAb252 and anti-EGF receptor antibody, respectively; Lanes 8 and 9: unrelated; Lanes 10 and 11: Control supernatant from transfected 3T3 cells concentrated 12X and precipitated with TAb252 and anti-EGF receptor antibody.

Figure 7 shows standard curves of partially purified gp185 and gp75 proteins in the sandwich IRMA assay using TAb 259 as a capture antibody and TAb 256 as the radiolabeled secondary antibody. The assay is able to detect both the whole c-erbB-2 protein partially purified from transfected NIH3T3 cells as well as the external domain protein purified from the supernatant of transfected CHO cells. The assay is approximately 100x more sensitive when the partially purified gp75 is used as a standard.



-18-

Figure 8 shows the detection of a shed antigen in nude mouse sera bearing tumors induced by c-erbB-2 transfected NIH3T3 cells when tested in the TAB 259/256 sandwich IRMA assay. The sera are all tested at a 1:5 dilution (vol/vol) and background binding of a pretumor pool of sera in this assay is 1.7%. The standard curve using the gp75 protein for this assay is comparable to that shown in Figure 7. Signals are detectable in mice with tumor sizes ranging from 500-1000 mm<sup>3</sup> and continue to increase until tumors reach 3000-10,000 mm<sup>3</sup>.

Figure 9 shows analysis of nude mouse sera from mice bearing tumors induced by NIH3T3 cells transfected with the c-erbB-2 gene and treated with either TAB 252 or PBS or IgG1 and tested in the TAB 259/256 sandwich IRMA. The sera are from various bleed dates throughout the course of a one month experiment and are tested at a 1:5 dilution. The mice, at the time their sera are tested, have received 2-8 treatments (100-500 µg/treatment) of either TAB 252, a MAb reactive with the external domain of c-erbB-2, IgG1 or PBS. Six pretumor sera are tested in the assay and the mean binding determined. The background cut-off in the assay is determined as the mean of the pre-tumor sera + 2 standard deviations above this mean or 2.2%. PBS-treated mice shed antigen which is significantly over background at tumor volumes of 1001-3000 mm<sup>3</sup> (n=7) while TAB 252-treated mice shed little detectable antigen at the same tumor volume (n=5). At larger tumor volumes the ability to detect shed antigen in sera from TAB-treated mice is still suppressed (n=9) as compared to sera from PBS-treated mice (n=8).

Figure 10 shows test results for twelve human sera from normal volunteers in the TAB 259/256 sandwich IRMA assay at a 1:5 dilution (v l/vol). Using these sera, a

-19-

background binding level of 1.68% is determined (mean + two standard deviations).

Figure 11 shows test results for serial bleeds from twenty patients with breast cancer in the TAb 259/256 IRMA assay at a 1:5 dilution. The serial samples were taken throughout the course of the disease and therapy. For patients 1-4, sample [a] was taken at first diagnosis, one day before surgical removal of the tumor. Sample [a] for patients 5-10 was taken several days after surgical removal of the tumor and sample [a] for patients 11-20 was taken at first or subsequent recurrence events. The remaining samples [b-f] (4 or 5 for each patient) were taken at various intervals throughout the course of therapy and do not correspond with a particular state of disease or response to therapy. Background cut-off for this assay was 1.68%.

Figure 12 shows the titration curve of three human sera from patients with breast cancer in the TAb 259/256 IRMA assay as compared to the gp75 standard and a normal human serum. The sera are all tested at a 1:5 dilution and background cut-off in the assay is 1.6%. For patient 19a, the serum sample was drawn when patient 19 presented with metastases approximately 1-1/2 years after the primary tumor was removed. Patient 19 died 1-1/2 years after this sample was taken. The 7e serum sample was drawn from patient 7 upon first failure with liver and bone marrow metastases seven months after the primary tumor was diagnosed. Patient 7 died six days after this last sample was drawn. The patient 4f sample was drawn at the time of first failure with liver and node metastases two years after the primary tumor was diagnosed. Patient 4 died six months after this [f] sample was drawn.

-20-

Figure 13 shows competition assay results wherein the ability of various cell lysates to compete the binding of TAB 251 to a lysate from NIH3T3 cells transfected with the c-erbB-2 gene is tested. The SKOV3, BT474 and NIH3T3<sub>t</sub> lines all overexpress the gp185 protein and lysates from these lines compete with increasing protein concentration. A control NIH3T3 lysate fails to compete.

Figure 14 shows results for a competition assay in which a supernatant from a CHO cell line transfected with the gp75 portion of the c-erbB-2 gene competes the binding of TAB 251 to a lysate from NIH3T3<sub>t</sub> cells. Supernatant from untransfected CHO cells fails to compete.

Figure 15 shows test results indicating that nude mouse sera from animals bearing tumors induced by NIH3T3 cells transfected with c-erbB-2 are able to compete the binding of TAB 251 to a lysate from NIH3T3<sub>t</sub> cells. Mice 2-4 with tumor sizes greater than 1100 mm<sup>3</sup> are able to compete whereas mouse 1 serum and a pretumor pool of sera do not compete in the assay.

Figure 16 shows the complete nucleotide and amino acid sequences of the c-erbB-2 gene. (Coussens et al. supra.) The gp75 external domain comprises the region from about amino acid number 22 (serine; ser-22) to about amino acid number 653 (serine; ser-653) (said amino acids are marked by black circles above them).

The concept underlying the many facets of this invention is the discovery that c-erbB-2 overexpressing cells shed the c-erbB-2 external domain (gp75) into the body fluids of the host mammal. Examples 1, 4, 5 and 6 outline the evidence that led to this finding. A soluble c-erbB-2 derivative (gp75) was found in the supernatants of stably transformed gp75 expressing cells; the protein

-21-

was found to have a molecular weight of approximately 75K and to compete with a protein present in NIH3T3<sub>t</sub> (c-erbB-2 expressing cells) cell lysate. (Example 1.) Examples 4, 5 and 7 respectively detail the detection of shed antigen, with affinity binding characteristics of the c-erbB-2 external domain, in the sera of nude mice bearing tumors induced by c-erbB-2 transfected NIH3T3 cells (NIH3T3<sub>t</sub>), in human tumor culture supernates, and in human sera from breast cancer patients. This discovery opened the way for the development of novel methods and compositions for the diagnosis and treatment of neoplastic disease in humans and other mammals.

#### Assays

There are assays herein provided to detect and quantitate three different entities in the body fluids of mammals, preferably humans, wherein those entities are as follows: gp75 proteins/polypeptides; antibodies to gp75 proteins/polypeptides; and the putative ligand to c-erbB-2. Each of the assays provide important information concerning the disease status of the patient, and are individually useful for screening mammals for neoplastic disease, diagnosing neoplastic disease, monitoring the progress of the disease, and for prognosticating the course of the disease and deciding upon appropriate treatment protocols. However, correlation of the results from one or more of these assays, preferably the test results for all three, provide the best profile on the disease condition of a patient.

For example, a patient may present with a large tumor, but the patient's gp75 level may be relatively low. The lowness of the reading may be due to the patient's generation of antibodies to gp75 proteins/polypeptides and not to the smallness of the tumor.

-22-

Another example of how correlating the data provides a broader view of the patient's condition concerns the relationship of the putative ligand to gp75. A patient  
5 may present with a high level of circulating gp75 proteins/polypeptides but not have neoplastic disease if the patient is not producing the putative ligand; if there is no ligand, the c-erbB-2 cell surface receptor cannot be stimulated thereby to begin unregulated growth.  
10 Thus, the ratio of ligand to gp75 is significant under the model of a ligand/receptor complex being the mechanism by which the proto-oncogene is activated to an oncogene.

Assay for gp75 Proteins/Polypeptides  
in Mammalian Body Fluids

Non-invasive diagnostic assays are provided to detect gp75 proteins/polypeptides in the body fluids of mammals, preferably humans, and quantitate the level of such gp75  
20 proteins/polypeptides therein. The term gp75 proteins/polypeptides is used in this context as the target antigen in the body fluids, because the shed gp75 protein can be broken down in a patient's body fluids into various fragments, that constitute proteins (having  
25 greater than 50 amino acids) and polypeptides (less than 50 amino acids).

Such assays provide valuable means of monitoring the status of neoplastic diseases. In addition to improving  
30 prognostication, knowledge of the disease status allows the attending physician to select the most appropriate therapy for the individual patient. For example, patients with a high likelihood of relapse can be treated rigorously, usually involving systemic chemotherapy and/or  
35 radiation therapy. When there is a lesser likelihood of relapse, less aggressive therapies can be chosen. Because

of the severe patient distress caused by the more aggressive therapy regimens, it would be desirable to distinguish with a high degree of certainty those patients requiring such aggressive therapies.

5

The present invention is useful for screening a wide variety of neoplastic diseases, including both solid tumors and hemopoietic cancers. Exemplary neoplastic diseases include carcinomas, such as adenocarcinomas and melanomas; mesodermal tumors, such as neuroblastomas and retinoblastomas; sarcomas, such as osteosarcomas, Ewing's sarcoma, and various leukemias; and lymphomas. Of particular interest are tumors of the breast, ovaries, gastrointestinal tract, including the colon and stomach in particular, liver, thyroid glands, prostate gland, brain, pancreas, urinary tract (including bladder), and salivary glands. Of still further particular interest are tumors of the prostate gland, ovaries and breast. Still more specifically, adenocarcinomas of the breast and ovaries have been widely studied and confirmed to overexpress c-erbB-2.

The body fluids that are of particular interest in assaying for gp75 according to the methods of this invention include serum, semen, breast exudate, saliva, urine, cytosols, plasma and cerebrospinal fluid. Serum is a preferred body fluid for screening according to the methods of this invention.

From a knowledge of the structure of the external domain of the c-erbB-2 oncogene (gp75), a number of monoclonal or polyclonal antibodies can be generated that specifically recognize this protein. Because gp75 is uniquely and specifically liberated from the surface of tumors associated with c-erbB-2 amplification and can exist freely in the biological fluids of mammals, it is

-24-

possible to detect and quantitate the levels of the protein. Utilizing current antibody detection techniques that can quantitate the binding of monoclonal antibodies made specifically to the external domain of the c-erbB-2 oncogene, one can determine the amount of external domain in the fluids of cancer patients. Such an assay can be used to detect tumors, quantitate their growth, and help in the diagnosis and prognosis of the human disease. The assays involve the use of monoclonal or polyclonal antibodies which can be appropriately labeled to detect and quantitate gp75 in body fluids of mammals.

The subject of the invention provides methods and compositions for evaluating the probability of the presence of malignant cells in a group of normal cells in the host or cells freshly removed from the host. A preferred method involves, as a first step, obtaining a purified amount of the external domain of the c-erbB-2 oncogene and using it as an immunogen to generate monoclonal antibodies in mice or other suitable hosts. The monoclonal antibodies should specifically react with epitopes on gp75. Alternatively, whole intact cells expressing c-erbB-2 on their membrane surface could be used as a source of antigen. It is possible that numerous monoclonal antibodies could be generated to recognize different epitopes on the external domain, and these monoclonal antibodies can be used either singularly or in combination as a cocktail to increase the specificity and sensitivity of an assay. Besides using the whole external domain as an immunogen, fragments of this protein, or protein generated by recombinant DNA means, can be also used to generate specific monoclonal antibodies. Also, polypeptides corresponding to various sequences within the external domain sequence could also be used as a source of immunogens. In all cases, the antibodies generated would have a specificity such that they have very limited

cross-reactivity with other proteins present on the surface of both tumors and non-tumor cells. They would not, for example, react with the EGF receptor which is present on the surface of many normal cells. The

5 diagnostic assay itself would typically involve obtaining a small amount of body fluid, preferably serum, from the human host. The presence of the c-erbB-2 external domain in the serum can then be quantitated using a number of well-defined antibody diagnostic assays. These can be

10 Western blots, ELISAs (enzyme-lined immunosorbent assays), RIA assays (radioimmunoassay), or dual antibody sandwich assays, all commonly used in the diagnostic industry. In all cases, the interpretation of the results is based on the assumption that the antibody or antibody combination

15 will not cross-react with other protein and protein fragments present in the serum that are unrelated to c-erbB-2. These methods are based on the fact that the presence of the c-erbB-2 external domain bears a strong correlation with the presence of a tumor as outlined above

20 in the Background. The assays can be used to detect the presence of a tumor, detect continued growth of a tumor, and detect the presence of cancer metastasis, as well as confirm the absence or removal of all tumor tissue following surgery, cancer chemotherapy or radiation

25 therapy. It can further be used to monitor cancer chemotherapy and tumor reappearance.

Example 3 details the format of a preferred diagnostic method of this invention - a double sandwich

30 immunoradiometric assay (IRMA). Many other formats for detection of gp75 in body fluids are of course available, including, for example, enzyme linked immunosorbent assays (ELISA). Representative of one type of ELISA test is a format wherein a microtiter plate is coated with

35 antibodies to gp75 proteins/polypeptides or antibodies to whole cells expressing, preferably overexpressing c-erbB-2



-26-

(that is, to intact gp75) and to this is added a sample of patient's serum. After a period of incubation permitting any antigen to bind to the antibodies, the plate is washed and another set of anti-gp75 antibodies which are linked to an enzyme is added, incubated to allow reaction to take place, and the plate is then rewashed. Thereafter, enzyme substrate is added to the microtitre plate and incubated for a period of time to allow the enzyme to work on the substrate, and the adsorbance of the final preparation is measured. A large change in absorbance indicates a positive result.

It is also apparent to one skilled in the art of diagnostic assays that antibodies to gp75 proteins and/or polypeptides can be used to detect and quantitate the presence of gp75 in the body fluids of patients. In one such embodiment, a competition immunoassay is used, wherein the gp75 protein/polypeptide is labeled and a body fluid is added to compete the binding of the labeled gp75 to antibodies specific to gp75 protein/polypeptide. Such an assay could be used to detect gp75 protein/polypeptide.

In another embodiment, an immunometric assay may be used wherein a labeled antibody to a gp75 protein or polypeptide is used. In such an assay, the amount of labeled antibody which complexes with the antigen-bound antibody is directly proportional to the amount of gp75 in the body fluid. Monoclonal antibodies for use in the assays of this invention may be obtained by methods well known in the art, particularly the process of Kohler and Milstein reported in Nature, 256:495-497 (1975).

Such diagnostic methods can be embodied in test kits to assay for gp75 in mammalian, preferably human, body fluids wherein such test kits can comprise antibodies, polyclonals and/or monoclonals, to gp75 proteins and/or

-27-

polypeptides, and/or antibodies to whole cells expressing c- rbB-2 (that is, to intact gp75). Such diagnostic test kits can further comprise another set of antibodies, polyclonal and/or monoclonal, for a sandwich format wherein said second set of antibodies are appropriately labeled.

Once antibodies having suitable specificity have been prepared, a wide variety of immunological assay methods are available for determining the formation of specific antibody-antigen complexes. Numerous competitive and non-competitive protein binding assays have been described in the scientific and patent literature, and a large number of such assays are commercially available. Exemplary immunoassays which are suitable for detecting the serum antigen include those described in U.S. Pat. No.s. 3,791,932; 3,817,837; 3,839,153; 3,850,752; 3,850,578; 3,853,987; 3,867,517; 3,879,262; 3,901,654; 3,935,074; 3,984,533; 3,996,345; 4,034,074; and 4,098,876.

Antibodies employed in assays may be labeled or unlabeled. Unlabeled antibodies may be employed in agglutination; labeled antibodies may be employed in a wide variety of assays, employing a wide variety of labels.

In some techniques, it will be useful to label the antigen or fragment thereof, rather than the antibody and have a competition between labeled antigen and antigen in the sample for antibody. In this situation, it is common to provide kits which have the combination of the labeled antigen or labeled fragment and the antibody in amounts which provide for optimum sensitivity and accuracy.

In other situations, it is desirable to have a solid support, where either antigen or antibody is bound. A

-28-

polyepitopic antigen can serve as a bridge between antibody bound to a support and labeled antibody in the assay medium. Alternatively, one may have a competition between labeled antigen and any antigen in the sample for a limited amount of antibody.

Suitable detection means include the use of labels such as radionuclides, enzymes, fluorescers, chemiluminescers, enzyme substrates or co-factors, enzyme inhibitors, particles, dyes and the like. Such labeled reagents may be used in a variety of well known assays, such as radioimmunoassays, enzyme immunoassays, e.g., ELISA, fluorescent immunoassays, and the like. See, for example, U.S. Patent Nos. 3,766,162; 3,791,932; 3,817,837; and 4,233,402.

#### Assays for Antibodies to gp75 Proteins/Polypeptides

As indicated above, the level of antibodies to gp75 proteins/polypeptides in a patient's body fluids is an important parameter in screening for neoplastic disease, monitoring, and prognosticating the course of the disease and on deciding on a course of treatment. A representative assay to detect such antibodies is a competition assay in which labeled gp75 protein/polypeptide is precipitated by antibodies in patient serum in combination with monoclonal antibodies recognizing gp75 protein/polypeptides. One skilled in the art could adapt any of the formats outlined and referred to in the above section to detect anti-gp75 antibodies for the quantitation of antibodies to gp75.

#### Assays for Putative Ligand to C-erbB-2

Similarly useful for diagnosing and screening for neoplastic disease and monitoring and prognosticating the

c urse of the disease and treatment schedules, is an assay to detect and quantitate the level of the putative ligand to the c-erbB-2 receptor. Such an assay would be especially useful in correlation with one of the above assays for gp75 proteins/polypeptides and antibodies thereto, and more preferably in correlation with both such assays.

A representative format for such an assay for the c-erbB-2 ligand utilizing gp75 proteins/polypeptides would involve attaching purified, preferably substantially pure, gp75 proteins/polypeptides to a plastic surface or other solid support either by its own binding to such surface or via a capture anti-gp75 antibody. Utilizing a competition assay of labeled ligand with an unknown amount of unlabeled ligand, the concentration of the latter for binding to the gp75 proteins/polypeptides can be quantitated utilizing standard diagnostic instrumentation.

Alternative formats, labeling, and in general other modifications which are within the scope of knowledge of those skilled in the art as outlined above for assays for gp75 proteins/polypeptides, similarly apply to the assays to detect and quantitate the putative ligand to c-erbB-2.

#### Anti-Idiotype Antibodies to Antibodies to gp75 Proteins/Polypeptides

Further within the scope of this invention are anti-idiotypic antibodies to antibodies to gp75 proteins/polypeptides. In each instance in the above-outlined assays, such anti-idiotypic antibodies can substitute for gp75 proteins/polypeptides.

-30-

Still further as noted under Vaccines, such anti-idiotypic antibodies can be used as immunogenic agents.

5 Anti-idiotypic antibodies to anti-gp75 antibodies are prepared essentially as outlined above in the Methods section: Preparation of Monoclonal c-erbB-2 Antibodies wherein the initial immunization is with the appropriate anti-gp75 antibody rather than the NIH3T3<sub>+</sub> cells. The  
10 fusion protocol is similarly followed therein. The screening process is a primary screen for binding to the original anti-gp75 monoclonal used for immunization, and a secondary screen comprising a competition assay, for example, a radiometric assay, wherein the appropriate gp75  
15 protein/polypeptide competes with the anti-idiotypic antibodies produced in the fusion for binding with the radiolabeled original anti-gp75 monoclonal.

#### Test Kits

20 The above outlined assays can be embodied in the form of test kits. Said test kits can comprise antibodies to gp75 proteins/polypeptides and/or antibodies to the intact gp75 (that is, on the surface of cells expressing  
25 c-erbB-2). Said antibodies can be either polyclonal and/or monoclonal. Further said test kits can comprise gp75 proteins/polypeptides alone or in combination with the aforementioned antibodies. As indicated above, anti-idiotypic antibodies to anti-gp75 antibodies can be  
30 substituted for appropriate gp75 proteins/polypeptides in such test kits.

Exemplary would be a test kit to assay for the putative ligand wherein either gp75 protein/polypeptides  
35 are coated on a surface or captured thereon or anti-idiotypic antibodies to anti-gp75 antibodies are s

coated on a surface. Alternatively, such an assay can be formulated as a competition assay as outlined above. Of course, such assays are not limited to solid phase assays, but can be in a liquid phase format and can be based on enzyme-limited immunosorbent assay (ELISAs) particle assays, radiometric or fluorometric assays, either unamplified or amplified using, for example avidin/biotin technology.

#### Preparation of gp75 Proteins and Polypeptides

The gp75 proteins and polypeptides of this invention, can be prepared in a variety of ways. A preferred method to prepare gp75 proteins is by recombinant means. A representative recombinant method of this invention is described infra in Example 1.

The gp75 proteins and polypeptides of this invention can further be prepared synthetically or biologically, that is, by cleaving longer proteins and polypeptides enzymatically and/or chemically. Said synthetic and biologic methods are described in detail infra under the heading Synthetic and Biologic Production of gp75 Protein and Polypeptide Portions Thereof. Such methods are preferred for preparing gp75 polypeptides.

#### Cloning of gp75 Sequence or Fragments Thereof

The plasmid pFRSV-c-erbB-2sec, constructed in accordance with Example 1, is only representational of the many possible DNA recombinant molecules that can be prepared in accordance with this invention. Depending on the restriction endonucleases employed, all or part of the c-erbB-2 external domain sequence may be cloned, expressed and used in accordance with this invention.

-32-

Useful restriction enzymes according to this invention may include enzymes that cleave DNA in such a way that the DNA fragment generated contains portions of the gp75 sequence. Appropriate restriction endonucleases  
5 may be selected by those of skill in the art on due consideration of the factors set out herein without departing from the scope of the invention.

A representative cloning vehicle used in Example 1 is  
10 pSV7186. However, a wide variety of host-cloning vehicle combinations may be usefully employed in cloning the gp75 DNA. For example, useful cloning vehicles may include chromosomal, nonchromosomal and synthetic DNA sequences such as various known bacterial plasmids such as pBR322,  
15 other E. coli plasmids and their derivatives and wider host range plasmids such as RP4, phage DNA such as the numerous derivatives of phage lambda, e.g., NB989 and vectors derived from combinations of plasmids and phage DNAs such as plasmids which have been modified to employ  
20 phage DNA expression control sequences.

Useful hosts may be eukaryotic or prokaryotic, preferably eukaryotic, and include bacterial hosts such as  
25 E. coli strains CAG456, JM103, N4830, X1776, X2282, HB101 and MRC1 and strains of Pseudomonas, Bacillus subtilis and other bacilli, yeasts and other fungi, animal or plant hosts such as animal or plant cells in culture, insect cells and other hosts. Preferred hosts in accordance with this invention are yeast cells, mammalian cells in  
30 culture, preferably monkey cells and Chinese Hamster Ovary (CHO) cells. Preferable monkey cells are from the cell line COS7; preferable CHO cells are from the cell line CHO-(dxbl1). Of course, not all hosts may be equally efficient. The particular selection of host-cloning  
35 vehicle combination may be made by those of skill in the

-33-

art after due consideration of the principles set forth herein without departing from the scope of this invention.

Furthermore, within each specific vector, various sites may be selected for insertion of the isolated double-stranded DNA. These sites are usually designated by the restriction enzyme or endonuclease that cuts them. For example, in pBR322 the PstI site is located in the gene for penicillinase between the nucleotide triplets that code for amino acids 181 and 182 of the penicillinase protein.

The particular site chosen for insertion of the selected DNA fragment into the cloning vehicle to form a recombinant DNA molecule is determined by a variety of factors. These include size and structure of the protein or polypeptide to be expressed, susceptibility of the desired protein or polypeptide to endoenzymatic degradation by the host cell components and contamination by its proteins, expression characteristics such as the location of start and stop codons, and other factors recognized by those of skill in the art. None of these factors alone absolutely controls the choice of insertion site for a particular protein or polypeptide, but rather the site chosen effects a balance of these factors and not all sites may be equally effective for a given protein.

It should, of course, be understood that the nucleotide sequence or gene fragment inserted at the selected restriction site of the cloning vehicle may include nucleotides which are not part of the actual structural gene for the desired protein or may include only a fragment of that structural gene. It is only required that whatever DNA sequence is inserted, the transformed host will produce a protein or polypeptide displaying epitopes of gp75.



-34-

The recombinant DNA molecule containing the hybrid gene may be employed to transform a host so as to permit that host (transformant) to express the structural gene or fragment thereof and to produce the protein or polypeptide for which the hybrid DNA codes. The recombinant DNA molecule may also be employed to transform a host so as to permit that host on replication to produce additional recombinant DNA molecules as a source of gp75 DNA and fragments thereof. The selection of an appropriate host for either of these uses is controlled by a number of factors recognized by the art. These include, for example, compatibility with the chosen vector, toxicity of the co-products, ease of recovery of the desired protein or polypeptide, expression characteristics, biosafety and costs. No absolute choice of host may be made for a particular recombinant DNA molecule or protein or polypeptide from any of these factors alone. Instead, a balance of these factors may be struck with the realization that not all hosts may be equally effective for expression of a particular recombinant DNA molecule.

#### Expression of gp75 Proteins/Polypeptides

Where the host cell is a procaryote such as E. coli, competent cells which are capable of DNA uptake are prepared from cells harvested after exponential growth phase and subsequently treated by the calcium chloride ( $\text{CaCl}_2$ ) method by well known procedures. Transformation can also be performed after forming a protoplast of the host cell.

Where the host used is an eucaryote, transfection method of DNA as calcium phosphate-precipitate, conventional mechanical procedures such as microinjection, insertion of a plasmid encapsulated in red blood cell

hosts or in liposomes, treatment of cells with agents such as lysophosphatidyl-choline or use of virus vectors, or the like may be used.

5           The level of production of a protein or polypeptide is governed by two major factors: the number of copies of its gene or DNA sequence encoding for it within the cell and the efficiency with which these gene and sequence  
10           copies are transcribed and translated. Efficiencies of transcription and translation (which together comprise expression) are in turn dependent upon nucleotide  
15           sequences, normally situated ahead of the desired coding sequence.

15           These nucleotide sequences or expression control sequences define, inter alia, the location at which RNA polymerase interacts to initiate transcription (the promoter sequence) and at which ribosomes bind and  
20           interact with the mRNA (the product of transcription) to initiate translation. Not all such expression control sequences function with equal efficiency. It is thus of  
25           advantage to separate the specific coding sequences for the desired protein from their adjacent nucleotide sequences and fuse them instead to known expression  
30           control sequences so as to favor higher levels of expression. This having been achieved, the newly engineered DNA fragment may be inserted into a multicopy plasmid or a bacteriophage derivative in order to increase the number of gene or sequence copies within the cell and  
35           thereby further improve the yield of expressed protein.

          Several expression control sequences may be employed. These include the operator, promoter and ribosome binding and interaction sequences (including sequences such as the  
35           Shine-Dalgarno sequences) of the lactose operon of E. coli ("the lac system"), the corresponding sequences of the

-36-

tryptophan synthetas system of E. coli ("th trp system"), a fusion of the trp and lac promoter ("the tac system"), the major operator and promoter regions of phage 1 ( $O_L P_L$  and  $O_R P_R$ ), and the control region of the phage  $\lambda$  coat protein. DNA fragments containing these sequences are excised by cleavage with restriction enzymes from the DNA isolated from transducing phages that carry the lac or trp operons, or from the DNA of phage  $\lambda$  or fd. These fragments are then manipulated in order to obtain a limited population of molecules such that the essential controlling sequences can be joined very close to, or in juxtaposition with, the initiation codon of the coding sequence.

The fusion product is then inserted into a cloning vehicle for transformation of the appropriate hosts and the level of antigen production is measured. Cells giving the most efficient expression may be thus selected. Alternatively, cloning vehicles carrying the lac, trp or  $\lambda P_L$  control system attached to an initiation codon may be employed and fused to a fragment containing a sequence coding for a gp75 protein or polypeptide such that the gene or sequence is correctly translated from the initiation codon of the cloning vehicle.

#### Synthetic and Biologic Production of gp75 Protein and Polypeptide Fragments Thereof

gp75 proteins and polypeptides of this invention may be formed not only by recombinant means but also by synthetic and by other biologic means. Exemplary of other biologic means to prepare the desired polypeptide or protein is to subject to selective proteolysis a longer gp75 polypeptide or protein containing the desired amino acid sequence; for example, the longer polypeptide or protein can be split with chemical reagents or with

enzymes. Synthetic formation of the polypeptide or protein requires chemically synthesizing the desired chain of amino acids by methods well known in the art.

5           The portion of a longer polypeptide or protein which contains the desired amino acids sequence can be excised by any of the following procedures:

10           (a) Digestion of the protein or longer polypeptide by proteolytic enzymes, specially those enzymes whose substrate specifically results in cleavage of the protein or polypeptide at sites immediately adjacent to the desired sequence of amino acids.

15           (b) Cleavage of the protein or polypeptide by chemical means. Particular bonds between amino acids can be cleaved by reaction with specific reagents. Examples include: bonds involving methionine are cleaved by cyanogen bromide; asparaginyglycine  
20           bonds are cleaved by hydroxylamine; disulfide bonds between two cysteine residues are cleaved by reduction, e.g., with dithiothreitol.

25           (c) A combination of proteolytic and chemical changes. Of course, as indicated above, it should also be possible to clone a small portion of the DNA that codes for the synthetic peptide, resulting in the production of the peptide by the unicellular host.

30           The biologically or synthetically produced proteins and polypeptides once produced, may be purified by gel filtration, ion exchange or high pressure liquid chromatography, or other suitable means.

35           Chemical synthesis of polypeptides is described in the following publications: Merrifield et al., J. Am.

Chem. Soc., 85:2149-2156 (1963); Kent et al., Synthetic Peptides in Biology and Medicine, 29 ff., eds Alitalo et al. (Elsevier Science Publishers 1985); Haug, ABL, 40-47 (Jan/Feb. 1987); Andrews, Nature, 319:429-430 (Jan. 30, 1986); Kent, Biomedical Polymers, 213-242, eds. Goldberg et al. (Academic Press 1980); Mitchell et al., J. Org. Chem., 43: 2845:2852 (1978); Tam et al., Tet. Letters, 4033-4036 (1979); Mojsov et al., J. Org. Chem., 45: 555-560 (1980); Tam et al. Tet Letters, 2851-2854 (1981); and Kent et al., Proceedings of the IV International Symposium on Methods of Protein Sequence Analysis (Brookhaven Press 1981).

The "Merrifield solid phase procedure" as described in the above- mentioned publications can be used to build up the appropriate sequence of L-amino acids from the carboxyl terminal amino acid to the amino terminal amino acids. Starting with the appropriate carboxyl terminal amino acid attached to an appropriate resin via chemical linkage to a chloromethyl group, benzhydrylamine group, or other reactive group of the resin, amino acids are added one by one using the following procedure for each:

- (a) Peptidyl resin is washed with methylene chloride;
- (b) the resin is neutralized by mixing for 10 minutes at room temperature with 5% (v/v) diisopropylethylamine (or other hindered base) in methylene chloride;
- (c) the resin is washed with methylene chloride;
- (d) an amount of amino acid equal to six times the molar amount of the growing peptide chain is activated by combining it with n-half as many moles of a carbodiimide, e.g. dicyclohexylcarbodiimide, diisopropylcarbodiimide, for 10 minutes at 0°C, t

-39-

form the symmetric anhydride of the amino acid. The amino acid used should be provided originally as the N- $\alpha$ -butyloxycarbonyl derivative, with side chains protected with benzyl esters (aspartic and glutamic acids), benzyl ethers (serine, threonine, cysteine, tyrosine), benzyl oxycarbonyl groups (lysine) or other protecting groups commonly used in peptide synthesis;

- 5
- 10 (e) the activated amino acid is reacted with the peptidyl resin for 2 hours at room temperature resulting in addition of the new amino acid to the end of the growing peptide chain;
- 15 (f) the resin is washed with methylene chloride;
- (g) The N- $\alpha$ -(butyloxycarbonyl) group is removed from the most recently added amino acid by reacting with 30% (v/v) trifluoroacetic acid in methylene chloride for
- 20 30 minutes at room temperature;
- (h) the resin is washed with methylene chloride;
- (i) steps a through h are repeated until the required
- 25 peptide sequence has been constructed. The peptide is then removed from the resin and simultaneously the side-chain protecting groups are removed; by reacting with anhydrous hydrofluoric acid containing 10% (v/v) of anisole. Subsequently, the peptide can be
- 30 purified by gel filtration, ion exchange, or high pressure liquid chromatography, or other suitable means.

Chemical synthesis can be carried out with ut a solid

35 phase resin, in which case the synthetic reactions are

performed entirely in solution. The reactions, and the final product, are otherwise essentially identical.

Techniques of chemical peptide synthesis include using automatic peptide synthesizers, employing commercially available protected amino acids; such synthesizers include, for example, Biosearch (San Rafael, CA) Models 9500 and 9600, Applied Biosystems Inc. (Foster City, CA) Model 430, and MilliGen (a division of Millipore Corp.) Model 9050. Further, one can manually synthesize up to about 25 polypeptides at a time by using Dupont's Ramp (Rapid Automated Multiple Peptide Synthesis).

The synthetic polypeptides according to this invention preferably comprise one or more epitopes of the gp75. It is possible to synthesize such polypeptides by attaching the amino acid sequence which defines an epitope (which can be from about three to about eleven amino acids, more usually from about five to about eleven amino acids) to at least three amino acids flanking either side thereof. The three amino acids on either side can be the same amino acids as in the natural gp75 sequence or could be other amino acids.

#### 25 Antibodies to gp75

Antibodies to the recombinant, synthetic or natural gp75 proteins and polypeptides, of this invention, have use not only for diagnostic assays but also for affinity purification of gp75 proteins/polypeptides and for therapeutic use. As indicated above in the Background, antibodies to c-erbB-2 have been shown to inhibit tumor growth in vitro and in vivo [Drebin et al., supra (1985)].

# Vaccines

It will be readily appreciated that the gp75 proteins and polypeptides of this invention can be incorporated into vaccines capable of inducing protective immunity against neoplastic disease and a dampening effect upon tumorigenic activity. Polypeptides may be synthesized or prepared recombinantly or otherwise biologically, to comprise one or more amino acid sequences corresponding to one or more epitopes of the gp75 either in monomeric or multimeric form. These polypeptides may then be incorporated into vaccines capable of inducing protective immunity against gp75. Techniques for enhancing the antigenicity of such polypeptides include incorporation into a multimeric structure, binding to a highly immunogenic protein carrier, for example, keyhole limpet hemocyanin (KLH), or diphtheria toxoid, and administration in combination with adjuvants or any other enhancers of immune response.

20

It will further be appreciated that anti-idiotypic antibodies to antibodies to gp75 proteins/polypeptides are also useful as vaccines and can be similarly formulated.

25

An amino acid sequence corresponding to an epitope of gp75 either in monomeric or multimeric form may be obtained by chemical synthetic means or by purification from biological sources including genetically modified microorganisms or their culture media. [See Lerner, "Synthetic Vaccines", Sci. Am. 248(2):66-74 (1983).] The polypeptide may be combined in an amino acid sequence with other polypeptides including fragments of other proteins, as for example, when synthesized as a fusion protein, or linked to other antigenic or non-antigenic polypeptides of synthetic or biological origin.

35



-42-

The term "corresponding to an epitope of a gp75" will be understood to include the practical possibility that, in some instances, amino acid sequence variations of naturally occurring protein and polypeptide may be antigenic and confer protective immunity against neoplastic disease and/or anti-tumorigenic effects. Possible sequence variations include, without limitation, amino acid substitutions, extensions, deletions, truncations, interpolations and combinations thereof. Such variations fall within the contemplated scope of the invention provided the protein or polypeptide containing them is immunogenic and antibodies elicited by such a polypeptide or protein cross-react with naturally occurring gp75 proteins and polypeptides to a sufficient extent to provide protective immunity and/or anti-tumorigenic activity when administered as a vaccine.

Such vaccine compositions will be combined with a physiologically acceptable medium, including immunologically acceptable diluents and carriers as well as commonly employed adjuvants such as Freund's Complete Adjuvant, saponin, alum, and the like. Administration would be in immunologically effective amounts of the gp75 proteins or polypeptides, preferably in quantities providing unit doses of from 0.01 to 10.0 micrograms of immunologically active gp75 protein and/or polypeptide per kilogram of the recipient's body weight. Total protective doses may range from 0.1 to about 100 micrograms of antigen.

Routes of administration, antigen dose, number and frequency of injections are all matters of optimization within the scope of ordinary skill in the art.

# Therapeutic Use of gp75 Proteins and Polypeptides

Th gp75 proteins and polypeptides of this invention may further be used therapeutically in the treatment of neoplastic disease, either alone or in combination with chemotherapeutic drugs. The fact that the external domain of c-erbB-2 is shed into body fluids as an intact molecule lends itself to therapeutic uses. An excess of gp75 unattached to the cell may compete with and interfere with the binding of the putative ligand for c-erbB-2 to the oncogene's cell surface receptor in a manner analogous to that of the CD4 receptor and HIV-1's gp120 envelope protein as outlined above in the Background [Smith et al., supra, (1987)]. Alternative mechanisms to explain the therapeutic effects of gp75 proteins and polypeptides may be to prevent or disrupt receptor/receptor interaction between c-erbB-2 expressing cells that facilitate tumorigenicity.

Such therapeutic methods comprise the administration of c-erbB-2 external domain material, its fragments, or peptides derived from part of its sequence, to patients. The high circulating levels of gp75 proteins/polypeptides could be expected to reduce or eliminate tumor growth as described above. The gp75 protein/polypeptides can be administered in a therapeutically effective amount dispersed in a physiologically acceptable, nontoxic liquid vehicle. Routes of administration and dosages may be similar to those noted under Vaccines above.

## Definitions

The term "gp75" is herein defined to mean a glycoprotein having an approximate molecular weight of 75 kil daltons (kd) that constitutes the external domain of the approximately 185 kd glycoprotein (gp185) that is

c-erbB-2. The term "gp75" is precisely defined by its nucleotide and amino acid sequences shown in Figure 16; the gp75 external domain comprises the region from about amino acid number 22 (serine; ser-22) to about amino acid number 653 (serine; ser-653) (said amino acids are marked by black circles above them in Figure 16) with the nucleotide sequence corresponding thereto. The amino acid sequence represents the nonglycosylated version of gp75 which would be expected to have an approximate molecular weight of 69 kd (Coussens et al., supra). Included with the scope of the term gp75 are glycoproteins produced recombinantly by yeast and higher eukaryotes that have varying amounts of glycosylation which affect the molecular weight of the protein product; for example, a small amount of gp90 was produced in stably transformed gp75-expressing CHO cells as indicated in Example 1 below.

The phrase "intact gp75" is herein defined to mean the gp75 external domain expressed upon the surface of a cell. Therefore, the intact gp75 would still be attached to the cell through the transmembrane region.

A "polypeptide" is a chain of amino acids covalently bound by peptide linkages and is herein considered to be composed of 50 or less amino acids. A "protein" is herein defined to be a polypeptide composed of more than 50 amino acids.

The phrase "gp75 proteins and polypeptides" is herein defined to mean proteins and polypeptides which are encoded by the gp75 external domain DNA sequence as shown in Figure 16 (nucleotides encoding from approximately ser-22 to approximately ser-653) or by fragments of said gp75 DNA sequence. The phrase "gp75 proteins and polypeptides" is herein interpreted to include proteins and polypeptides which have substantially the same amino

acid sequences and substantially the same biological activity as the "gp75 proteins and polypeptides".

5       It is understood that because of the degeneracy of  
the genetic code, that is, that more than one codon will  
code for one amino acid [for example the codons TTA, TTG,  
CTT, CTC, CTA and CTG each code for the amino acid leucine  
(L)], that variations of the nucleotide sequence of Figure  
16, wherein one codon is substituted for another, would  
10       produce a substantially equivalent protein or polypeptide  
according to this invention. All such variations in the  
nucleotide sequence for gp75 are included within the scope  
of this invention.

15       It is further understood that the gp75 DNA sequence  
as shown in Figure 16 represents only the precise  
structure of the naturally occurring nucleotide sequence.  
It is expected that slightly modified nucleotide sequences  
will be found or can be modified by techniques known in  
20       the art to code for similarly serologically active,  
immunogenic and/or antigenic proteins and polypeptides,  
and such nucleotide sequences and proteins/polypeptides  
are considered to be equivalents for the purpose of this  
invention. DNA having equivalent codons is considered  
25       within the scope of the invention, as are synthetic DNA  
sequences that encode proteins/polypeptides homologous or  
substantially homologous to the gp75 DNA sequence and as  
are DNA sequences that hybridize to the sequences coding  
for gp75 proteins/polypeptides, as well as those sequences  
30       but for the degeneracy of the genetic code would hybridize  
to said gp75 sequences. Further, DNA sequences which are  
complementary to the gp75 sequences referred to herein are  
within the scope of this invention. Such modifications  
and variations of DNA sequences as indicated herein are  
35       considered to result in sequences that are substantially  
the same as the gp75 sequence or portions thereof.

Typically, such related nucleotide sequences are substantially the same which fall into the definition of substantially homologous.

5           Further, it will be appreciated that the amino acid sequence of gp75 can be modified by genetic techniques. One or more amino acids can be deleted or substituted. Such amino acid changes, especially if in a region which is not within an epitope of the polypeptide, may not cause  
10 any measurable change in the serological, antigenic and/or immunogenic activity of the protein or polypeptide. The resulting protein or polypeptide will have substantially the same amino acid sequence and substantially the same biological activity and is within the scope of the  
15 invention.

          Preferably, when the gp75 proteins/polypeptides are administered with chemotherapeutic agents, those agents are alkylating agents. Preferred chemotherapeutic drugs  
20 for the method are cisplatin, carboplatin and mephalan.

# Abbreviations

The following abbreviations are used in this application:

5	ATCC	-	American Tissue Culture Collection
	BCA	-	bicinchoninic acid
	BSA	-	Bovine serum albumin
	CHO	-	Chinese hamster ovary
	DAB	-	diaminobenzidine tetrahydrochloride
10	DHFR	-	dihydrofolate reductase
	DMEM	-	Dulbecco modified Eagle medium
	EDTA	-	ethylenediaminetetraacetic acid
	EGF	-	epidermal growth factor
	EGFr	-	epidermal growth factor receptor
15	EGTA	-	ethylene glycol-bis ( $\beta$ -aminoethyl ether)-N,N,N',N' tetraacetic acid
	ELISA	-	enzyme labeled immunosorbent assay
	FACS	-	fluorescent activated cell sorting
	FBS	-	fetal bovine serum
20	FITC	-	fluorescein isothiocyanate
	HAT	-	hypoxanthine aminopterin thymidine
	HBSS	-	Hank's balanced salt solution
	HEPES	-	4-(2-hydroxyethyl)-1-piperazineethane-sulfonic acid
25	HPLC	-	high pressure liquid chromatograph
	HRP	-	horseradish peroxidase
	IRMA	-	immunoradiometric assay
	MEM	-	minimal essential medium
30	MTT	-	3-(4,5-dimethylthiazoyl-2-yl)-2,5-diphenyl tetrazolium bromide
	MTX	-	methotrexate
	NHS	-	N-hydroxysuccinimide
	PBS	-	phosphate-buffered saline
	PEG	-	polyethylene glycol
35	PMSF	-	phenylmethylsulfonylfluoride
	PNPP	-	para-nitrophenyl phosphate
	RIA	-	radioimmunoassay
	RPMI	-	Roswell Park Memorial Institute 1640 media
	RT	-	room temperature
40	SDS	-	sodium dodecyl sulfate
	SDS-PAGE	-	sodium dodecyl sulfate-polyacrylamide gel electrophoresis
	TAb/MAb	-	monoclonal antibody
	TCA	-	trichloroacetic acid
45	TMB	-	tetramethyl benzidine
	TRIS	-	tris(hydroxymethyl)aminomethane or amino-2-hydroxymethyl-1,3-propanediol

Cell Lines

The following cell lines were used in the experiments herein described:

- 5 SKBR3 - Human breast cancer cell line which originated as a metastatic pleural effusion was obtained from the ATCC, catalog # HTB30.
- 10 SKOV3 - Human ovarian cancer cell line which originated as a metastatic ascitic effusion was obtained from the ATCC, catalog # HTB77.
- 15 MCF7 - Human breast adenocarcinoma cell line from a pleural effusion was obtained from the ATCC, catalog # HTB22.
- 20 MDA361 - Human breast cancer cell line which originated as a metastatic tumor to the brain was obtained from the ATCC, catalog # HTB27.
- 25 MDA435 - Human breast cancer cell line which originated as a metastatic pleural effusion and is obtainable from the ATCC, catalog # HTB129.
- 30 MDA468 - Breast cancer cell line which originated as a metastatic pleural effusion and contains amplified EGFR was obtained from the ATCC, catalog # HTB132.
- 35 NIH3T3 - Murine fibroblast cell line obtained from S. Aaronson (NIH) [Science, 237:178 (1987)]
- 40 NIH3T3<sub>t</sub> - Murine fibroblast cell line transfected with the c-erbB-2 oncogene was obtained from S. Aaronson (NIH) [Science, 237:178 (1987)].
- 45 HBL100 - This relatively normal breast cancer cell line derived from human milk is immortalized with SV-40 and was obtained from the ATCC, catalog # HTB124.
- 50 COS7 - SV40 transformed African green monkey cells were obtained from the ATCC catalog # CRL1651.
- CHO-(dxb11) - Chinese hamster ovary cells were obtained from the UCSF cell culture facility.

Growth Media

The following growth media were used, for the cell lines as indicated, in the experiments herein described:

- 5
- SKBR3, - Cells were cultured in Minimal Essential  
MDA468 Medium (MEM), [Gibco Biologicals Inc.,  
MDA435 New York], 10% heatinactivated fetal bovine  
serum, 0.29  $\mu\text{g}/\mu\text{l}$  L-glutamine.
- 10
- SKOV3 - Cells were cultured in Iscove's Modified  
Dulbecco's Medium (IMDM), 10% heat  
inactivated fetal bovine serum, 0.29  $\mu\text{g}/\mu\text{l}$   
L-glutamine.
- 15
- MDA361 - Cells were cultured in RPMI 1640, 10% heat  
inactivated fetal bovine serum, 1  $\mu\text{g}/\mu\text{l}$   
bovine pancreatic insulin, 0.29  $\mu\text{g}/\mu\text{l}$   
L-glutamine.
- 20
- HBL100 - Cells were cultured in McCoy's 5A medium,  
10% heat inactivated fetal bovine serum,  
0.29  $\mu\text{g}/\mu\text{l}$  L- glutamine.
- 25
- COS7 - Cells were routinely maintained in Dulbecco  
modified Eagle medium (DMEM) supplemented  
with 10% fetal bovine serum (Gibco  
Laboratories), 100  $\mu\text{M}$  L-glutamine,  
100 units/ml penicillin and 100  $\mu\text{g}/\mu\text{l}$   
streptomycin.
- 30
- CHO-(dxb11) - Cells were maintained in  $\alpha$ -MEM supplemented  
with 10% FBS L-glutamine and antibiotics.
- 35
- NIH3T3 - Cells were maintained in DMEM + 4% FBS, 2mM  
NIH3T3<sub>t</sub> glutamine  
MCF7



References

The following are citations for references referred to in the text by author(s) or editor(s) and year designations:

- 5 Ausutel et al., (eds.), Current Protocols in Mol. Biol., Vol. 2, (Wiley Interscience 1988)
- 10 Coussens et al., Science, 230:1132 (1985)
- Di Fiore et al., Science, 237:178 (1987)
- Graham and van der Eb (eds.), J. Virol. 52:456 (1973)
- 15 Horan-Hand et al., Cancer Res., 43: 728 (1983)
- Horwich et al., J. Cell Biol., 100: 1515 (1985)
- Hsu et al., J. Histochem., 29:577 (1981)
- 20 King et al., Science, 229:974 (1985)
- Laemmli, Nature, 227:680 (1970)
- 25 Maniatis et al., Molecular Cloning: A Laboratory Manual, (Cold Spr. Harbor Lab. 1982)
- McConglogue, Gene Transfer Vectors for Mammalian Cells, pp 79-84 (CSH Publishing 1987)
- 30 Slamon et al., "Studies of the HER-2/neu proto-oncogene in human breast cancer", Cancer Cell 7/Molecular Diagnostics of Human Cancer, pp. 371-384, (CSH, NY 1989)
- 35 Towbin et al., PNAS, 76:4350 (1979)
- Zoller and Smith, Methods Enzymol. 154: 329 (1987).

## Methods

The following methods were used in the examples below.

5

### Protein Analysis

Proteins were analyzed by SDS-PAGE as described by Laemmli, Nature, 227:680-685 (1970), which article is  
10 herein incorporated by reference, using a 4% acrylamide stacking gel with a 10% resolving gel, both containing 0.2% SDS. Samples were applied in 50 microliter ( $\mu$ l) of sample buffer [63 millimolar (mM) TRIS, pH 6.8, 10%  
15 glycerol, 5% 2-mercaptoethanol, and 2.3% SDS] and were electrophoresed for four hours with a constant current of 20 milliamperes (mA). The molecular weights of proteins were estimated by their mobilities relative to standard proteins of known molecular weight. Protein concentration was determined using a Coomassie blue dye-binding assay  
20 (Bio-Rad Laboratories, Richmond, CA).

### Western Blots

To characterize an antigen identified by an  
25 appropriate antibody, a modification of the Western blot as described by Towbin et al., Proc. Natl. Acad. Sci., U.S.A., 76:4350-4354 (1979), which article is herein incorporated by reference, was used in which the proteins are transferred from SDS-PAGE gels to nitrocellulose  
30 filters and identified by the appropriate monoclonal antibody. After transfer to the nitrocellulose filters, excess protein binding sites were blocked by soaking the filters in PBS containing 3% BSA. The antigen was located by incubating the sheet in 30 milliliter ( $\mu$ l) of PBS  
35 containing 1% BSA and 1-2 X 10<sup>7</sup> counts per minute (cpm) of iodinated antibody for one hour. The filter was then

-52-

rinsed, dried, and autoradiographed. (As little as 100 picogram (pg) of protein can be detected with this procedure.)

5     Preparation of Antibodies

Preparation of Polyclonal Antibody: 9.2

      New Zealand white rabbits were immunized with 50-200  
10     µg E. coli recombinant antigen representing the N-terminal  
      81% of the c-erbB-2 protein. The initial immunization  
      consisted of the antigen emulsified 1:1 (vol/vol) in  
      Freund's complete antigen, and injected at two  
      subcutaneous sites. Two subsequent boosts were given at  
15     two week intervals, with the antigen emulsified in  
      incomplete adjuvant. The animals were bled every two  
      weeks via ear vein and the sera assayed by Western blot  
      against gp185 expressing cell lysates, by reactivity on  
      the cell based ELISA (described below), by  
20     immunoprecipitation of the gp185 protein from radiolabeled  
      cell lysates, and by immunoprecipitation of the gp170  
      protein from radiolabeled A431 cell lysates. The sera  
      demonstrated strong reactivity with gp185 by Western blot  
      after 2 boosts and cross-reacted with the EGF receptor  
25     protein.

Preparation of Polyclonal Antibody: 9.2

      Rabbit polyclonal antiserum was made against a 14  
30     amino acid peptide at the C-terminus of the c-erbB-2  
      protein. An immunization similar to that described above  
      was used. This antiserum specifically precipitates a  
      185kd protein from membrane preparations of cells  
      expressing the c-erbB-2 protein. It does not cross-react  
35     with the EGF receptor.

Preparation of Monoclonal c-erbB-2 Antibodies

Balb/c mice were immunized intraperitoneally and subcutaneously with either  $2 \times 10^6$ – $1 \times 10^7$  NIH3T3 cells transfected with the c-erbB-2 oncogene, NIH3T3<sub>t</sub>, (kindly provided by Dr. S. Aaronson, NIH) [Di Fiore et al., Science, 237:178-182 (1987)], or with a similar number of SKBR3 cells emulsified 1:1 (vol/vol) in complete Freund's adjuvant. The animals were boosted every two to four weeks with cells emulsified in incomplete adjuvant. Sera was collected every two weeks and tested for reactivity in an ELISA assay (described below) against formalin fixed NIH3T3 or fixed NIH3T3<sub>t</sub> cells. Animals with positive titers were boosted intraperitoneally or intravenously with cells in PBS, and animals were sacrificed 4 days later for fusion. Spleen cells were fused with P3-X63Ag8.653 myeloma cells at a ratio of 1:1 to 7.5:1 with PEG 4000 as described by the procedure of Kohler and Milstein [Nature, 256:495-497 (1975)]. Fused cells were gently washed and plated in 96-well plates at  $1$ – $4 \times 10^6$  cells/ $\mu$ l in RPMI. Wells were fed with HAT medium 24 hours after the fusion and then every 3 days for 2-3 weeks. When colony formation was visible, after 10-14 days, the supernatants were tested for reactivity in the ELISA assay. Prospective clones demonstrating good growth were expanded into 24-well plates and rescreened 7-10 days later. Positive wells were then assayed for external domain reactivity against live NIH3T3 and NIH3T3<sub>t</sub> cells by flow sorting analysis. Hybridomas (designated parent hybridomas) which were positive both by ELISA assay and flow sorting analysis were cloned either by limiting dilution cloning or by single cell deposition, based on flow sorting analysis of surface immunoglobulin expression, into 96-well plates containing spleen feeder cells. Wells demonstrating growth were retested by ELISA and recloned an additional one to three times.

-54-

Supernatants from hybridoma clones were tested for isotype and subisotype, reactivity to surface expressed gp185 protein on NIH3T3<sub>6</sub> cells by flow sorting analysis, and immunoprecipitation of a labeled gp185 protein from transfected cells. Positive hybridomas were grown and injected into pristane-primed Balb/c mice, Balb/c nude mice or IRCF1 mice for ascites production. Ascites were purified by HPLC on a Bakerbond ABx column and purified monoclonal antibodies (referred to by TAB #) were dialyzed against PBS and stored at -20°C. All purified antibodies were tested for isotype and subisotype by radial immunodiffusion (with less than 15% contaminating isotypes) cell surface staining of gp185 expressing cell lines by flow sorting analysis, ELISA assay against transfected and untransfected NIH3T3 cells, radioimmunoprecipitation of gp185 from labeled c-erbB-2 expressing cell lines, lack of cross-reactivity with the closely related EGF-receptor protein by the failure to precipitate a radiolabeled 170kD protein from radiolabeled A-431 cells, and analyzed by SDS-PAGE and gel densitometry (all purified proteins are >90% immunoglobulin). All monoclonal antibodies failed to recognize the gp185 protein by Western blot techniques. A summary of the MAbs developed to date and the reactivity of these MAbs is outlined in Table 1. A29 is the parent hybridoma to monoclonal antibodies, TAB 250-254. In some of the early experiments, as indicated, the supernatant from the A29 hybridoma was used.

TABLE 1: Reactivity of MAbs Recognizing the External Domain of c-erbB-2

TAB	Immunogen	Clone Designation	FACS1 Binding	ELISA2 Titer, ng/ml	Subisotype	gp185	RIP gp170	gp75	Western Blot
250	NIH3T3t	189A29-1	+	20	IgG1	+	-	+	-
251	"	189A29-5	+	1	"	+	-	+	-
252	"	189A29-1C	+	20	"	+	-	+	-
253	"	189A29-1B	+	47	"	+	-	+	-
254	"	189A29-4-52	+	10	"	+	-	+	-
255	"	298E95-31-3	+	16	"	+	-	+	-
256	"	296A60-34-7	+	25	"	+	-	+/	-
257	"	296A94-74-28	+	11	"	+	-	+	-
258	"	297E10-23-17	+	4	"	+	-	+	-
259	SKBR3	292D12B-93-61	+	20	"	+	-	+	-
260	NIH3T3t	272B69C-85-40	+	10	IgG2a	+	-	+	-
261	"	297D12-80-32	+	10	IgG2b	+	-	+	-
262	"	297C65-43-71	+	27	IgG1	+	-	+/	-
263	"	297D11-34-14	+	100	IgG2b	+	-	+	-
264	"	297E87-8-95	+	41	IgG1	+	-	+	-
265	"	298D57-8-36	+	51	IgG1	+	-	+	-

- 1 Ability to bind to NIH3T3 cells transfected with c-erbB-2 with a mean peak fluorescence at least 2x over background binding to untransfected NIH3T3 cells
- 2 Tit r at 30% maximum binding in an ELISA assay against NIH3T3 cells transfected with c-erbB-2.

### Flow Sorting Analysis

NIH3T3 and NIH3T3<sub>t</sub> (or other c-erbB-2 expressing cell line) cells were grown to 80% confluency in DMEM + 4% FBS. Cells were harvested with Puck's Versene, and washed twice with cold FACS buffer (HBSS without phenol red, 2% FBS, 0.2% sodium azide, 10mM HEPES). Cells were distributed at 0.5-1.0x10<sup>6</sup> cells per 12x75 mm glass test tube (cells should be >90% viable), pelleted, and the supernatants removed. The tubes were placed on ice and 100 µl of supernatants or purified antibodies were added per tube. Each antibody or supernatant was tested against both NIH3T3 cells as well as NIH3T3<sub>t</sub> cells. The antibody was incubated with the cells on ice for 1 hour. The cells were washed twice with cold FACS buffer, and 100 µl of a FITC-conjugated goat anti-mouse secondary antibody was added. After 1 hour on ice, the cells were washed twice with FACS buffer and resuspended to 500 µl with 10% neutral buffered formalin. The resuspended cells can be stored wrapped in foil for up to 2 days at 4°C. The labeled cells were analyzed in a Coulter EPICS 541 flow sorter and a mean peak channel fluorescence determined for 5000 cells. The mean peak for reactivity to NIH3T3<sub>t</sub> cells was compared to the mean peak for reactivity to NIH3T3 cells. For antibodies reacting with the external domain portion of gp185, the peaks were non-overlapping.

### Antibody Assays

Polystyrene plates (96-well) were coated with 100 ng of a lysate from c-erbB-2 expressing cells diluted in PBS. The lysate was prepared by adding 2-3 µl cold lysis buffer (0.15 M NaCl, 0.1% Triton X-100, 0.1% deoxycholate, 0.1% SDS, 10 mM Tris pH 7.4, 1 mM PMSF) to 2x10<sup>6</sup>-1x10<sup>7</sup> cells and incubating on ice for 15 minutes. Lysates were centrifuged at 10,000g for 20-30 min and supernatants were

assayed for protein, aliquoted and stored at  $-20^{\circ}\text{C}$ . The plates to which lysate was added (referred to as the competition plates) were incubated overnight at room temperature and then washed with PBS. Another 96-well plate (incubation plate) was blocked with 1% BSA in PBS (100  $\mu\text{l}$ /well) for 1 hour at room temperature. These plates were washed and antigen (either supernatants from gp75 expressing CHO cells, mouse sera, or cell lysate preparations) was mixed with TAB 251 at 5 ng/ $\mu\text{l}$  in the wells, and the plates were incubated for 2-4 hours at room temperature. The competition plates were similarly blocked with 1% BSA/PBS and washed and 100  $\mu\text{l}$  of the incubation mixture was transferred from the incubation plates to the competition plate and incubated 1 hour at room temperature. The plates were then washed with PBS/0.05% Tween 20 and a biotinylated goat anti-mouse IgG antibody was added at 1:400 dilution (vol/vol), 100  $\mu\text{l}$  per well. The plates were incubated 30 minutes at room temperature, washed and 100  $\mu\text{l}$  of a Streptavidin-HRP conjugate was added at a 1:8000 dilution (vol/vol). After an additional 30 minute incubation at room temperature, followed by an ash step as described above, the TMB substrate was added at 100  $\mu\text{l}$ /well. This substrate was prepared immediately before use by mixing 5  $\mu\text{l}$  TMB stock (1  $\mu\text{g}/\mu\text{l}$  3,3',5,5' tetramethylbenzidine in methanol) with 5  $\mu\text{l}$  citrate buffer, pH 4.5 and 4  $\mu\text{l}$  30% hydrogen peroxide. After a 15 minute incubation in the dark at room temperature, the absorbance was measured at 450 nm. The TAB 251 preincubated with PBS was used as an uncompeted control to determine maximum binding to lysate coated competition plates.

#### ELISA Assays

Polystyrene 96-well plates were pre treated for 2 hours at  $37^{\circ}\text{C}$  with bovine collagen at 1  $\mu\text{g}/\mu\text{l}$  in sterile



-58-

PBS at 100 $\mu$ l/well. NIH3T3 or NIH3T3<sub>t</sub> cells were grown to 80% confluency in DMEM + 4% FBS, harvested with warm Puck's Versene, washed and plated overnight at 37°C at 1x10<sup>6</sup> cells/ $\mu$ l, 100  $\mu$ l/well, in the previously treated and washed collagen plates. Plates were gently washed and treated for 1 hour with 100  $\mu$ l of 10% neutral buffered formalin. The plates were again washed with PBS, and blocked with 1% BSA in PBS for 1 hour at 37°C. Sample supernatants or antibody dilutions were then added to the coated, blocked and washed plates at 100  $\mu$ l per well and the plates were incubated for 2 hours at 37°C. After another PBS wash step, 100  $\mu$ l of a 1:500 dilution of an alkaline phosphatase-conjugated goat anti-mouse IgG Fc-specific secondary antibody was added and the plates were incubated for 1 hour at 37°C. After a final PBS wash, a BioRad substrate (PNPP + diethanolamine) was added, and after a 10-15 minute incubation at room temperature, the absorbance was measured at 405 nm.

#### Immunoperoxidase Staining

The immunoperoxidase staining procedure used was a modification of the avidin-biotin immunoperoxidase technique of Hsu et al., J. Histochem. Cytochem., 29, 577-580 (1981) as described by Horan-Hand, et al. Cancer Res., 43, 728-735 (1983), both of which articles are herein incorporated by reference.

The following examples are presented to help in the better understanding of the subject invention and for purposes of illustration only. They are not to be construed as limiting the invention in any manner.

# Example 1

## Expression of c-erbB-2 in CHO Cells

### 5     c-erbB-2 Vector Construction

A 2.0 kb fragment of the c-erbB-2 cDNA encoding the  
 extracellular domain of the putative c-erbB-2 protein was  
 excised from the Okayama-Berg cloning vector, pSV7186  
 10     (available through Pharmacia, cat. #27-4948-01) using NcoI  
 and AatII, blunt-ended using T4 DNA polymerase, and  
 ligated with EcoRI linkers (NE Biolabs, cat. #1078). The  
 initial c-erbB-2 cDNAs were isolated by D. Slamon (UCLA)  
 and were derived from a female patient with adenocarcinoma  
 15     of the breast (see Figure 16 for the complete nucleotide  
 sequence for c-erbB-2). The EcoRI-linkered partial  
 c-erbB-2 cDNA was then subcloned into EcoRI digested  
 pFRSV, an SV40-based derivative of pFR400 (Horwich et al.  
 1985). To construct pFRSV, a 2.6 kb PvuII/HpaI fragment  
 20     was isolated from pKSV10 (commercially available through  
 Pharmacia, cat #27-4926-01), and blunt-end cloned into  
 PvuII-digested pFR400. The BglII site at nucleotide  
 position 5107 of pKSV-10 had previously been converted to  
 an EcoRI site by site-directed mutagenesis (Zoller and  
 25     Smith 1987) leaving a unique RI cloning site in the final  
 construct, pFRSV. This vector also contains the dominant  
 selectable marker, DHFR, which was utilized for  
 amplification of the gp75 c-erbB-2 derivative. The final  
 construct, designated pFRSV-c-erbB-2sec (Figure 3) was  
 30     transformed into E. coli strain, MC1061, and plasmid DNA  
 was isolated according to Maniatis et al. 1982.

### Transfection of pFRSV-c-erbB-2sec

35     Transient expression of the plasmid was monitored  
 using COS7 cells and calcium phosphate (CaPO4)-mediated

-60-

transfection (Graham and van der Eb 1973). Cells were split 1:10 onto 100 mm tissue culture dishes 24 h prior to transfection (app. 30-50% confluency). 20  $\mu$ g (in 10  $\mu$  vol) of the plasmid construct in .49  $\mu$ l 2X HeBS was mixed with .5  $\mu$ l of .25 M  $\text{CaCl}_2$ . [see Ausutel et al. (eds.) 1988]] which was slowly bubbled into the DNA/HeBS mix, vortexed for 10 sec, and then allowed to stand at room temperature for 20-30 min to allow for formation of the DNA precipitate. This precipitate was then added to the dish of COS7 cells, and the cell/precipitate mix was incubated at 37°C, 5%  $\text{CO}_2$  for 15 h. The precipitate was washed from the cells with phosphate-buffered saline (Gibco), incubated in complete growth medium (DMEM) and assayed for expression of c-erbB-2 48 h following introduction of the DNA.

Stable expression of pFRSV-c-erbB-2sec was obtained in CHO cells after using  $\text{CaPO}_4$ -mediated transfection (see above). The DNA precipitates were made exactly as described above using 20  $\mu$ g of plasmid DNA and four 100 mm dishes of CHO cells. Each transfected 100 mm dish was split 1:20, 72 h following introduction of the plasmid, and cultured for 18 days in a-MEM (lacking nucleosides and nucleotides) containing 10% dialyzed fetal bovine serum and 20 nM MTX. Stepwise amplification was initiated, cells were passaged every 6 days into increasing concentrations of MTX (100 nM, 2.5 mM, 12.5 mM and 50 mM). These MTX-resistant populations were cloned by limiting dilution following 21 days of growth in MTX.  $10^6$  cells from one of these populations were diluted in growth medium (see above) as follows: 2X, 1:100, then 2X 1:10, resulting in approximately 1 cell/well of a 96 well microtiter plate (Costar). The cells were maintained in 50 mM MTX and expanded successively into 24- and 6-well microtiter plates, followed by 60 mm dishes over a period of three weeks. The MTX-resistant clones were then

assayed for gp75 expression by radioimmunoprecipitation, immunofluorescence, and Western blot.

#### Immunofluorescence

5

Cellular localization of gp75 was detected using anti-c-erbB-2 TAB 252 or the supernatant from the parent hybridoma thereof A29. (See Methods above for methods of preparing said MAbs.) Cells were unadhered with PBS/5mM EDTA, washed 2X with PBS, and fixed in 2  $\mu$ l 4% p-formaldehyde/PBS for 10 min at 37°C. Cells were washed in PBS, incubated in 0.6% n-octyl-glucoside/PBS for 5 min at RT to permeabilize membranes, and then resuspended in 1X HBSS containing 2% FBS and 10 mM HEPES, pH 7.0 containing 10  $\mu$ g/ $\mu$ l of either of the anti-c-erbB-2 MAbs. This incubation with the primary antibody was performed on ice for 60 min, followed by two washes in PBS. Cells were then resuspended in 100 $\mu$ l of HBSS containing FITC-F(ab')<sub>2</sub> anti-mouse IgG (Tago, Inc., Catalog #4950). Transfected cells were also stained with a non-specific murine myeloma IgG1 (Litton Bionetics).

10

15

20

#### Radioimmunoprecipitation (RIP)

25

Transiently transfected or stably expressing c-erbB-2sec cells were grown in 60 mm dishes to 80% confluency, and then starved in 2  $\mu$ l cystine-free media for 1 h. Cells were then labeled with 200 mCi 35S-cysteine (specific activity = 600 Ci/mmol; Amersham) for 15 h at 37°C, 5% CO<sub>2</sub>. The supernatants were then harvested and stored in 1 mM PMSF at -20°C. The cells were washed 2X in cold phosphate-buffered saline and lysed in 0.4  $\mu$ l. 1X RIPA buffer [ 0.15M NaCl, 1% Triton X-100 (10 $\mu$ l/L), 1% Na deoxycholate (10g/L), 0.1% SDS (1g/L), 10mM Tris pH 7.4, 1mM PMSF] per dish. After preclearing the lysates with protein A-sepharose ( 60 $\mu$ l p r 400 $\mu$ l of

30

35

-62-

lysate), 10 $\mu$ l of the lysates were TCA precipitated to check for uptake. The lysates were then normalized for 4X10<sup>6</sup> counts per sample and incubated overnight with the antibody at 4°C on a rocker. The supernatants were concentrated and equivalent amounts were incubated overnight with the antibody (4°C on a rocker). After overnight incubation, samples were precipitated with 60 $\mu$ l of protein A-sepharose for 30 min. at 4°C and then spun down and washed with 1X RIPA four times. The adsorbed immunocomplexes were, after final wash, resuspended in 35 $\mu$ l of 2X Laemmli buffer, boiled 5 min. and electrophoresed through a 7% acrylamide gel. The gel was then fixed, dried and exposed overnight.

For the Western blot, a 7% SDS-acrylamide gel was run and blotted with Tris-glycine-methanol buffer onto nitrocellulose. Blocking and incubation was done in 10% milk and 2% BSA. The method of detection was biotin-avidin with DAB as the substrate (diaminobenzidine tetrahydrochloride in .1M Tris, .02% hydrogen peroxide). The blot was washed with a .05M Tris, .25M sodium chloride (NaCl), 3mM EDTA, .05% Tween 20 solution.

#### Detection of the Soluble c-erbB-2 Derivative (gp75) in CHO Cells

The pFRSV-cerbB-2sec construct was introduced stably into CHO dx11 as described above, and reactivity with anti-c-erbB-2 TAb 252 was examined in both cell lysates and supernates following the step-wise increase in MTX concentration, resulting in the anticipated amplification of gp75. Using RIP analysis as elaborated previously, the major portion of gp75 was detected surprisingly in cell lysates; a substantially lesser amount was observed from the supernatants of the stably expressing gp75 CHO population. Immunofluorescence was performed to aid in

determining why this should occur since the construct did not contain a hydrophobic transmembrane domain, and, thus, should have been secreted into the supernatants of the gp75 stably transfected CHOs. Immunofluorescence analysis revealed roughly 30% of one transfected CHO population and 10% of a second population were reactive with the anti-c-erbB-2 TAb 252 and the protein did not appear to be localized to any particular organelle egs. lysosomes, nucleus. We assumed that that we could increase the secreted fraction of gp75 by limit dilution cloning of either of the gp75-expressing CHO populations. Individual MTX-resistant (50mM) clones were obtained, expanded, and assayed for gp75 expression using the anti-c-erbB-2 TAb 252. Immunofluorescence analysis, RIP analysis and Western analysis confirmed the successful cloning and expression of gp75. Expression levels of secreted gp75 from CHO clones were approximately 10-20 fold greater compared with the uncloned populations.

To examine the possibility that a 'soluble' derivative of c-erbB-2 might occur in vitro, competition for binding to an anti-c-erbB-2 TAb 252 recognizing an extracellular epitope was performed. The two cell types used for this experiment were NIH-3T3 stably transfected with a full length c-erbB-2 cDNA (King et al., 1985) and one of the gp75-expressing CHO clones described above. Supernatant was collected from the clone which was previously in vitro labeled using 35S-cystine. Competition was performed using a constant amount of anti-c-erbB-2 antibody TAb 252, a constant amount of labeled gp75- CHO supernatant with increasing amounts of 3T3-c-erbB-2 unlabeled cell lysate. SDS/PAGE revealed that as the concentration of unlabeled 3T3-c-erbB-2 (gp185) increased, the intensity of the RIP band at approximately 75Kd decreased proportionally (Figure 4). This strongly suggested that a soluble form is 'released'

-64-

from cell types expressing a membrane-bound form of this protein, and there is apparent heterogeneity in glycosylation among different cell types. The supernatant from the gp75 expressing CHO cells competed the binding to the NIH3T3<sub>t</sub> lysate (Figure 4).

### Example 2

#### Purification of Recombinant c-erbB-2 Protein

##### Plasmid Purification

The plasmid DNA was amplified in a one liter culture of bacteria by adding 200 µg/µl chloramphenicol to the cells at OD 600 = 0.8. After overnight incubation at 37°C, the bacteria was pelleted and resuspended in 10 µl of 50 mM sucrose, 25 mM Tris-Cl (pH 8.0) and 10 mM EDTA. Another 10 µls of this solution with 10 µg/ml lysozyme was added and incubated at room temperature for 10 min. 40 mls of a 0.2M NaOH, 1% SDS solution was slowly added into the mix and incubated on ice for 10 min. Then 30 mls of 3M sodium acetate pH 5.0 was added and the mixture was incubated another 10 min. on ice before centrifugation at 20,000 rpm for 20 min. at 4°C in a Beckman SW27 or equivalent. The supernatant was precipitated with an equal volume of isopropanol at room temperature for 20 min., and the precipitate spun down in a Sorvall at 12,000g for 30 min. at room temperature. The pellet was resuspended in 2.4 ml TE buffer (10mM Tris-Cl pH 7.4, 1 mM EDTA) and mixed with 4.2 g cesium chloride (CsCl) and 0.4 ml ethylene bromide (EtBr) (10 µg/ml). The sample was then loaded into a 5/8 X 3 in. Beckman Quick-Seal polyallomer tube beneath a layer of CsCl solution, approximately 8 mls, (density = 1.470 g/ml, n = 1.3780) and spun at 50,000 rpm in a Sorvall T127 for 18 hours at 20°C.

### Preparation of Immunoaffinity Gel

Monoclonal antibody TAB 254 (See Methods above for preparation of said MAb) was coupled to an NHS activated affinity gel (Affi-Prep 10; Bio-Rad Labs, Richmond, CA) according to the manufacturer's directions. Briefly, 4.5  $\mu$ g of purified antibody was exchanged into Coupling Buffer (20mM HEPES, pH = 7.5, 150 mM NaCl), then concentrated by ultrafiltration to a final volume of 1.0 ml. This solution was added to 2.0 ml of gel pre-equilibrated in ice cold Coupling Buffer, and the slurry was mixed overnight at 4°C. After coupling, the gel was collected on a scintered glass funnel and washed with Coupling Buffer. Samples of the filtrates were assayed for protein using a BCA protein assay (Pierce, Rockford, IL). The total protein recovered in all filtrates was 1.2  $\mu$ g. It was, therefore, assumed that 3.3  $\mu$ g of IgG was coupled to the gel.

Remaining reactive sites were blocked with 2-aminoethanol. 5.0 ml of a 100 mM 2-aminoethanol solution in Coupling Buffer, pH = 8.5, was added to the gel, and the slurry was mixed at room temperature for two hours. The gel was then washed extensively with PBS and stored at 4°C. Sodium azide was added (final concentration of 0.02% w/v) to inhibit bacterial growth.

### Isolation and Purification of the c-erbB-2 Extracellular Domain

Starting material for the purification of soluble c-erbB-2 extracellular domain protein was a 10-fold concentrate of the transfected CHO supernatant. The concentrated supernatant was thawed and protease inhibitors were added to the following final



-66-

concentrations: 0.2 mM PMSF, 2.1  $\mu$ g/ml aprotinin, 2.5  $\mu$ g/ml pepstatin A, 1.0  $\mu$ g/ml leupeptin, 2 mM EDTA, 2 mM EGTA. The pH of the supernatant was adjusted to 7.0 with 1.0 N sodium hydroxide (NaOH).

5

In some experiments, the supernatant was concentrated another 4-fold by ultrafiltration. Additional concentration caused the supernatant to become turbid, and this turbidity was removed prior to chromatography by centrifugation (10,000 X g, 20 min.).

10

The supernatant was filtered through a 0.45  $\mu$ m membrane, then loaded on a 0.5 X 5 cm column packed with the 254 immunoaffinity gel (1.0 ml bed volume). The column was loaded at a flow rate of 0.2 ml per minute. Nonspecifically bound material was washed away with 10 mM sodium phosphate, pH = 7.0, 500 mM sodium chloride (NaCl). Washing was continued until a stable baseline absorbance at 280 nm was reached. Specifically bound material was then eluted with a step gradient of 100 mM glycine-HCl, pH = 2.5, at a flow rate of 0.2 ml/min. 1.0 ml fractions were collected. The column was then washed extensively with PBS. Loading, washing, and elution were carried out at 4°C (Figure 1).

15

20

25

The presence of c-erbB-2 protein in the column fractions was determined by SDS-PAGE and Western blot analysis (Figures 2A and B). For Western blot analysis, antigen was detected using polyclonal antibody 92A (see Methods above for its preparation) (purified IgG fraction) at a 1/2000 dilution (vol/vol). Fractions containing peak reactivity were pooled and dialyzed against PBS containing the protease inhibitors listed above. The dialyzed pool was then concentrated by ultrafiltration. Final protein yield was determined by BCA protein assay using bovine gamma globulin (Bio-Rad Labs, Richmond, CA) as a standard.

30

35

Total protein yield from one chromatography cycle was about 90  $\mu$ g. This represented approximately 90% of the antigenic activity in 500 ml of 10X concentrated supernatant, as determined by IRMA. Five loading and elution cycles were carried out on the same column without an apparent loss in antigen binding capacity. SDS-PAGE analysis of the eluent pool revealed two closely spaced bands at approximately 75 kD and a minor band at 90 kD. These differences in size are probably due to differential glycosylation and/or proteolysis of the protein.

### Example 3

#### Immunoradiometric Sandwich Assays (IRMA)

TABs 251 and 255-265 were radiolabeled using the Iodogen method to a specific activity of 10-20 mCi/ $\mu$ g. Immulon I removal 96-well plates were coated with one of the following TABs: 251, 255-265, at 10  $\mu$ g/ml in PBS at pH 7.2 overnight at 4°C. The plates were then washed with PBS, blocked with 1% BSA in PBS for 1 hour at 37°C. After an additional wash step, 100 ml of the samples (either cell lysates or supernatants, partially purified gp185 or gp75 proteins, or serum samples) diluted in PBS were added to the TAB-coated wells and the plates were incubated for 2-5 hours at 37°C. The plates were washed and 100 ml of the radiolabeled tracer antibody (adjusted to 200,000 cpm/100 ml with 1% BSA in PBS) were added to the wells. After a 2-24 hour incubation at room temperature, the plates were washed and individual wells were counted in a gamma counter. Percent bound (1% B) was calculated using the following equation:

$$\%B = (\text{cpm of sample} / \text{total cpm}) \times 100$$

-68-

For assays in which an affinity purified gp75 protein (from a transfected CHO cell line) was available, a sigcurve function was used to generate a standard curve from which unknown concentration were determined in ng/ml gp75 equivalents from the fitted function.

Table 2 depicts which combinations of monoclonal antibodies were able to detect the gp75 protein in the sandwich IRMA format. Both a semi-purified gp185 as well as a gp75 standard were tested in two of the IRMA formats. Interestingly, one of these formats utilizing TAB 251 as a capture antibody and TAB 255 as a labeled antibody, was able to detect signals from c-erbB-2 expressing cell line lysates and the gp185 protein partially purified from NIH3T3 cells transfected with the c-erbB-2 oncogene, but was not able to detect the gp75 protein or a signal from nude mouse sera bearing c-erbB-2 induced tumors. This data, summarized in Table 3, along with data from the competition assay suggested that the final assay format would need to detect gp75 protein in order to also detect a signal in nude mouse sera. The final assay format with appropriate sensitivity and specificity for gp185 as well as gp75 consisted of TAB 259 as a capture antibody and TAB 256 as the tracer antibody. This assay detected the partially purified gp75 protein with a sensitivity of 0.5-1 ng/ml, detected a partially purified gp185, detected signals in cell lysates overexpressing gp185 and in nude mouse sera (summarized in Table 3). A standard curve for this assay showing increased sensitivity for the gp75 protein is depicted in Figure 7. The TAB 256/259 IRMA assay was used to quantitate signals from cell culture supernatants. Cell lines, which are positive for the c-erbB-2 protein, shed an antigen which was detected and quantitated by this IRMA assay. Table 4 indicates that levels of shed antigen for a control NIH3T3 cell line are at background levels, whereas cell lines overexpressing

gp185 and shedding a gp75 molecule in the supernatant as detected by radioimmunoprecipitation also shed an antigen detectable in the sandwich IRMA and quantitated at 22 to 70 ng/ml gp75 equivalents. The level of shed antigen depends on the level of c-erbB-2 overexpression as well as the confluency of the cultures.

This format was used to analyze and quantitate all mouse and human serum samples, and cell supernatants and cell lysates. Results from the competition and sandwich assays are summarized in Table 3, and indicate a correlation between the ability to detect the partially purified gp75 external domain protein and the ability to detect a shed antigen in serum samples from nude mice bearing c-erbB-2 induced tumors or in serum samples from human breast cancer patients.

TABLE 2: C erb B2 IRMA: Tested TAB Combinations\*

Coating Mab	Tracer Mab	TAB 251	TAB 255	TAB 256	TAB 257	TAB 258	TAB 259	TAB 260	TAB 261	TAB 262	TAB 263	TAB 264	TAB 265
TAB 251	-	-	-	-	-	-	-	-	+	+++	+	++	-
TAB 255	++	-	++	++	++	+	+	-	-	-	-	-	-
TAB 256	-	-	-	-	-	-	++	-	-	+++	-	++	-
TAB 257	-	-	-	-	-	-	++	-	-	+++	-	++	-
TAB 258	-	-	-	-	-	-	-	-	-	+++	-	+++	+
TAB 259	-	-	+++	+++	+++	-	-	-	-	+++	-	+++	+
TAB 260	-	-	-	-	-	-	-	-	-	-	-	-	-
TAB 261	-	-	-	-	-	-	-	-	-	-	-	-	-
TAB 262	+	-	+	+	+	-	+/	-	-	-	-	-	-
TAB 263	-	-	-	-	-	-	-	-	-	-	-	-	-
TAB 264	+++	++	+++	+++	+++	+++	+++	-	-	-	-	-	++
TAB 265	-	-	-	-	-	-	-	-	-	-	-	-	-

- < 5000 cpm at highest standard  
 + 5000 - 10,000 cpm at highest standard  
 ++ 10,000 - 25,000 cpm at highest standard  
 +++ > 25,000 cpm at highest standard

TABLE 3: Comparison of Competition and IRMA Assays in the Detection of External Domain of c-erbB-2 and Shed Antigen in Serum Samples

-72-

## Assay Format

Antigen	Source	Competition	251/255 IRMA	259/256 IRMA
Lysate	SKBR3	+	++	++
Lysate	SKOV3	+	++	++
Lysate	BT474	+	++	++
Lysate	MCF7	-	-	-
Lysate	NIH3T3t	+	++	++
Lysate	NIH3T3	-	-	-
Purified protein	2-5% pure gp185	+	++	++
Purified protein	70% pure gp75	+	-	++
Serum	Preimmune or normal	-	-	-
Serum	Nude mice bearing gp185 induced tumors	+/-	-	++
Serum	Human breast cancer	ND	ND	++
Serum	Human liver disease	ND	ND	-

- No signal over background  
 +/- Weak signal over background  
 + Detectable signal over background  
 ++ Strong and quantifiable signal  
 ND Not determined

**TABLE 4: Quantitation of c-erbB-2 Shed Antigen in Supernatants from Various Cell Lines Using the TAB 259/256 Sandwich IRMA Assay**

Cell Line	% Confluency	ng/ml gp75 Equivalents in Cell Culture Supernatant
NIH3T3t	100	32.7
NIH3T3	100	0.064
SKBR3	100	70.0
BT474	50	22.5

\* Background level for media controls are 0.1 ng/ml



-74-

Example 4Nude Mouse Tumor Growth and Treatment

5 Balb/c nude mice were bled via tail vein prior to the start of the experiment. Animals were then injected (day 0) subcutaneously along the mid dorsum with  $5 \times 10^6$ - $1 \times 10^7$  NIH3T3<sub>c</sub> cells in 200 ml PBS. These cells were greater than 90% viable upon injection. The animals  
10 receiving treatment were injected 2-3 days after receiving cells (before tumor volume reaches 100 mm<sup>3</sup>) with PBS, an IgG1 control antibody or a TAb antibody at 100-500 µg/300 ml injected intraperitoneally every two-three days. Growth was determined by measuring length, width and  
15 height of the tumor using vernier calipers and calculating the volume in mm<sup>3</sup>. Tumors were measured every 3 to 4 days. Animals were bled via tail vein every week to two weeks until the experiment was terminated at 28-31 days. At the end of the experiment, animals were terminally  
20 bled, the tumors were measured and excised for subsequent immunohistochemical studies.

Detection of Shed Antigen in Nude Mouse Sera

25 In Figure 8, the percent bound signal in the IRMA assay of a 1:5 serum dilution (vol/vol) was graphed as a function of tumor size at the time the serum was drawn. These sera were from animals bearing tumors induced by the c-erbB-2 transfected NIH3T3 cells. The assay was able to  
30 detect an increasing signal with an increase in tumor size up to about 3000 mm<sup>3</sup>, after which the signal plateaued. Due to very strong signals and limiting amounts of sera, the sera are analyzed at dilutions of 1/5 to 1/625 (vol/vol). Strong signals were frequently still observed  
35 at the highest serum dilution. When tumor bearing mice were treated with PBS or an IgG1 control antibody, the

signal detected by the IRMA assay was similar to untreated mice (Figure 9). However, when animals were treated with a TAb recognizing the c-erbB-2 external domain, the amount of shed antigen detectable by the assay was severely  
5 suppressed at tumor sizes up to 3000 mm<sup>3</sup>. Even in mice with tumor sizes >3000mm<sup>3</sup>, signals were suppressed in about half of the sera tested. These data suggest that an antibody recognizing the external domain of c-erbB-2, or a portion thereof, may suppress the level of detectable  
10 signal in the sandwich IRMA assay.

Human mammary or ovarian cell lines overexpressing the gp185 protein, grown in nude mice, also shed an antigen detectable in the c-erbB-2 IRMA assay as shown in  
15 Table 5. The signal correlates with increase in tumor size. MCF7 induced tumors remained small and did not shed a c-erbB-2 related antigen. The MDA468 cell line induced substantial tumor growth (>2000 mm<sup>3</sup>) and had a substantial amount of EGFr but did not shed any antigen detectable by  
20 the sandwich IRMA assay (Table 5).

-76-

TABLE 5: Quantitation of Shed Antigen in Sera from  
Nude Mice Bearing Tumors Induced by High  
and Low c-erbB-2-expressing Human Cell Lines

	Sample/ Cell Line	gp185 expressing	Mouse Mouse	Tumor Volume (mm <sup>3</sup> )	gp75 equivalents (ng/ml)
5	Pretumor			0	0
10	MCF7	-	1	195 540	0 0
			2	228	0
15			3	594	0
	MDA468	-	1	2436	0
20			2	3328	0
			3	2700	0
25	SKOV3	++	1	553 920 1625	14.2 10.3 29.4
			2	1031 2052	10.2 12.3
30			3	540 891 1250 1260	6.8 5.0 10.0 7.7
35			4	2681 4128	16.3 51.9
40	MDA361	++	1	1924 3391	28.1 34.4
			2	3391 4000	73.5 104.8
45			3	1211 882 1120 1252 1560 1640	18.6 21.2 22.5 18.5 21.9 25.5
50			4	432 400 1309	7.4 7.8 12.2

-77-

The competition assay was used to detect gp75 antigens which compete the binding of TAb 251, an ectodomain reactive MAb, with a lysate from transfected NIH3T3 cells. Figure 13 demonstrates that lysates from cell lines expressing c-erbB-2 gp185, such as the human mammary cell line BT-474 and the human ovarian cell line SKOV3, can compete the binding to the NIH3T3<sub>t</sub> lysate comparable to the NIH3T3<sub>t</sub> lysate. The control 3T3 cell lysate that was not transfected failed to compete. Likewise, a supernatant from CHO cells transfected with the gp75 external domain is shown to compete the binding to the NIH3T3<sub>t</sub> lysate (Figure 14) verifying that TAb 251 recognizes ectodomain and this binding alone is sufficient to compete the binding of the MAb to gp185.

Nude mouse sera from mice bearing large tumors induced by the NIH3T3<sub>t</sub> transfected cells can compete the binding to the NIH3T3 (c-erbB-2 expressing cells) lysate as shown in Figure 15. The ability to compete correlates with increasing tumor size; however, the assay is not sensitive enough to detect a signal distinct from non-specific interference at serum dilutions lower than 1/160 (vol/vol).

25

#### Example 5

#### Detection of Shed c-erbB-2 in Human Tumor Cell Culture Supernate

Human breast tumor cell lines were cultured in T150 flasks and labeled with 400 mCi of <sup>35</sup>S-cysteine in 15 μl of cysteine and methionine-free medium (Dulbecco's Modified Eagle's medium, DME H21, with 4.5 gm/l glucose). Cells were labeled overnight at 37°C. After 24 hours, the medium was removed, protease inhibitors added (Leupeptin 1 μg/μl, Boehringer Mannheim; Aprotinin 2.1 μg/μl, Sigma;

-78-

Pepstatin A 2.5  $\mu\text{g}/\mu\text{l}$ , Boehringer Mannheim; and PMSF 0.1 mM, Sigma), and then concentrated to 400  $\mu\text{l}$  using an Amicon Centriprep 30.

5           Prior to immunoprecipitation, supernatants were stripped of non-specific protein A binding by incubation at 4°C for 4 hours with 100  $\mu\text{l}$  of a 50% slurry of protein A-sepharose beads. The beads and non-specifically bound material were removed by a 30 second spin in a microfuge, and supernatants were removed to new tubes. Antibody (20 $\mu\text{l}$  containing approximately 10  $\mu\text{g}$ ) was then added, and the mixtures were incubated for 24 hours at 4°C on a rotator. The following day, 50 $\mu\text{l}$  of the protein A slurry was added to the sample which was incubated for 4 hours at 4°C on a rotator. The beads were then pelleted for 30 seconds in a microfuge and washed five times with ice cold RIPA buffer (100mM Tris-HCl pH 7.5, 100 mM NaCl, 0.5% TritonX-100, 0.5% deoxycholate, 10  $\mu\text{g}/\mu\text{l}$  bovine serum albumin, 0.2mM PMSF). Between the 3rd and 4th wash, tubes were changed. The final pellet was suspended in 50  $\mu\text{l}$  of Laemmli sample buffer containing 1% beta-mercaptoethanol. Samples were heated to 75°C for 5 minutes, spun for 30 seconds in a microfuge, and loaded onto a 7% SDS polyacrylamide gel.

25           The gels were stopped at approximately 120 mA-hrs and then fixed in 10% acetic acid, 30% methanol in distilled water for 45 minutes - 1 hour. After a quick wash in distilled water, gels were soaked for 1 hour in 250  $\mu\text{l}$  fresh distilled water. Gels were permeated with 250 $\mu\text{l}$ s EnHance (DuPont) for 90 minutes and equilibrated in 2% glycerol prior to drying onto filter paper. Dried gels were exposed to Kodak X-OMAT AR-5 X-ray film at -80°C for one to three days.

35

Detection of the soluble c-erbB-2 derivative (gp75) in the media supernatant of human tumor cells

Figure 5 shows the autoradiogram of tissue culture supernatant from SKBR3 cells that was concentrated and precipitated with various antibodies. A distinct single band of approximately 75 kd was evident in those samples treated with c-erbB-2 antibodies (A29 and TAb 252) reactive with the extracellular domain. In contrast, no bands appeared in supernates treated with either a rabbit polyclonal antiserum made against a c-erbB-2 C-terminal peptide or with a monoclonal specific for the EGF receptor (Amersham). The specificity of the 75 kd band derived from SKBR3 cells was further demonstrated by the ability of the same monoclonal, TAb 252, to precipitate an identical molecular weight species from 3T3 cells transfected with the c-erbB-2 oncogene (Figure 6). Also shown in Figure 6 is the inability of TAb 252 to precipitate a 75 kd band from MDA468 supernate. That cell line expresses large quantities of EGF receptor, but does not express detectable levels of c-erbB-2. A larger molecular weight species of approximately 105kd was precipitated from these cells with an anti-EGF receptor monoclonal.

Precipitations were also done with supernatants from a third cell line, MDA435, that expresses neither c-erbB-2 nor detectable EGF receptor (Figure 6), and no bands at either 75 or 110 kd were detected.

Example 6

Detection of Shed Antigen in Human Sera

A panel of 20 human sera from breast cancer patients, on which sequential bleed dates were available, were

-80-

tested in the assay. Sera from normal volunteers indicate a background level of 1.68% in the assay (Figure 10) whereas sera from 3 patients (patients 4, 7 and 19) indicate shed antigen levels significantly above background (Figure 11). The signal from these sera decrease in a parallel manner with the gp75 standard as a function of increasing dilution (Figure 12). Another panel of 88 breast cancer sera was tested in the assay and 13 sera are detected as positive with levels significantly above background, varying from 9.9-1511 ng/ $\mu$ l gp75 equivalents. There seems to be no correlation between the amount of shed antigen as measured by the sandwich gp75 IRMA and a commercially available diagnostic assay from Centocor (Table 6). The Centocor assay is an FDA-approved assay for the diagnosis of human breast cancer which has been commercially available for several years. The Centocor assay measures a mucin, and was positive for each of the 13 breast cancer sera that tested positive in the IRMA gp75 assay. The IRMA gp75 assay, however, shows a slightly different gp75 profile for each patient whereas the Centocor assay's measure of the mucin level is more standard for each patient. The differences in gp75 levels may be indicative of varying disease status for different patients.

### Conclusion

It is understood that the hybrid micro-organisms, recombinant DNA molecules and proteins/polypeptides and methods applicable to them of this invention are not limited to those described in the preferred embodiments above. The hybrid organisms, recombinant DNA molecules and protein/polypeptides may be modified during production or subsequently by known methods to good advantage. For example, more efficient control sequences may be used for transcription of the c-erbB-2 sequences, mutations to

-81-

reduce the synthesis of undesired products may be introduced, the protease levels in the host cells may be reduced, thermo-inducible lysogens containing the c-erbB-2 sequences may be integrated into the host chromosome or other modifications and procedures may be carried out to increase the number of sequence copies in the cell or to increase the cell's productivity in producing the desired protein/polypeptide.

Various modifications of the invention in addition to those shown and described herein will become apparent to those in the art from the foregoing description. Such modifications are intended to be within the scope of the appended claims.

TABLE 6: Quantitation of Shed Antigen in Human Breast Cancer Sera in the TAb 259/256 Sandwich IRMA, Comparison with the Centocor Assay

Breast Cancer Serum Sample	IRMA gp75 equivalents (ng/ $\mu$ l)*	Centocor CA15-3 RIA (units/ $\mu$ l)**
1	43.7	164
2	38.4	>200
3	75.1	< 25
4	39.1	43
5	60.1	>200
6	37.6	>200
7	81.9	37
8	1511.0	>200
9	9.9	98
10	27.8	43
11	10.0	<200
12	104.6	139
13	19.8	75

\* Less than 5.10 ng/ $\mu$ l is negative.

\*\* Normals considered 13.9+ 8 units.



What we claim is:

1. A recombinant DNA molecule comprising a DNA sequence that codes for the external domain of the c-erbB-2 protein (gp75) or for one or more portions of said gp75, wherein said DNA sequence is operatively linked to an expression control sequence in said DNA molecule.
2. A recombinant DNA molecule according to Claim 1 which codes for one or more portions of said gp75 wherein said portion or portions is or are serologically active, antigenic and/or immunogenic.
3. A unicellular host which is either prokaryotic or eukaryotic transformed with the recombinant DNA molecule of Claim 1.
4. A unicellular host according to Claim 3 which is eukaryotic.
5. A unicellular host according to Claim 3 wherein the recombinant DNA molecule is a recombinant cloning vehicle comprising a first and a second restriction endonuclease recognition site, said DNA sequence being inserted between said first and second restriction sites.
6. A unicellular host according to Claim 3 which is selected from the group consisting of strains of E. coli, Pseudomonas, Bacillus, yeast, other fungi, and animal, insect, and plant cells in culture.
7. A unicellular host according to Claim 4 which is selected from the group consisting of yeast and mammalian cells in culture.

- 5 8. A unicellular host according to Claim 7 which is a mammalian cell selected from the group consisting of monkey cells and Chinese Hamster Ovary (CHO) cells in culture.
- 10 9. A unicellular host according to Claim 8 wherein the monkey cells are from the cell line COS7 and the CHO cells are from the cell line CHO-(dxb11).
- 15 10. A recombinant DNA molecule according to Claim 1 which is plasmid pFRSV-c-erbB-2 sec.
- 20 11. A purified and isolated DNA molecule for use in securing expression in a prokaryotic or eukaryotic host cell of a protein or polypeptide product having at least part of the amino acid sequence of gp75, said DNA selected from:
- 25 (a) DNA molecules encoding for gp75 or fragments thereof;
- (b) DNA molecules which hybridize to the DNA sequence of a) or fragments thereof; and
- 30 (c) DNA molecules which, but for the degeneracy of the genetic code, would hybridize to the DNA molecules defined in a) and b).
- 35 12. A purified and isolated DNA molecule according to Claim 11 wherein the host cell is eukaryotic.
13. Recombinant gp75 proteins and polypeptides.
14. Recombinant gp75 proteins and polypeptides according to Claim 13 which are glycosylated.

- 5
15. Recombinant gp75 proteins and polypeptides according to Claim 14 which are serologically active, immunogenic and/or antigenic.
16. Substantially pure, gp75 protein and any polypeptide portion thereof.
- 10 17. Antibodies, both monoclonal and polyclonal, to the recombinant gp75 proteins and polypeptides of Claim 14.
18. A method of treating mammals for neoplastic disease by administering the antibodies of Claim 17.
- 15 19. A method for producing gp75 proteins and/or polypeptides comprising the steps of:
- 20 a) transforming a unicellular host with a recombinant DNA molecule of Claim 1;
- b) culturing said unicellular host so that said gp75 proteins and/or polypeptides are expressed; and
- 25 c) extracting and isolating said gp75 proteins and/or polypeptides.
20. A method of testing mammalian body fluids for the presence of gp75 which comprises contacting a
- 30 composition containing antibodies to gp75 proteins and/or polypeptides, with a sample of a mammalian body fluid and determining whether said antibodies bind to a protein in said sample.
- 35 21. A method according to Claim 20 where in the mammalian body fluids are human body fluids selected from the

group consisting of serum, semen, plasma, breast exudate, urine, saliva, and cerebrospinal fluid.

5

22. A method according to Claim 21 wherein the human body fluids are selected from the group consisting of serum, plasma, and semen.

10

23. A method according to Claim 22 wherein the human body fluid is serum or plasma.

24. A diagnostic method for neoplastic disease associated with c-erbB-2 amplification employing an immunoassay to detect gp75 in human body fluids.

15

25. A method according to Claim 24 wherein the neoplastic disease is a tumor of an organ having a secretory function.

20

26. A method according to Claim 24 wherein the neoplastic disease is a tumor of epithelial origin.

25

27. A method according to Claim 24 wherein the neoplastic disease is associated with a tumor or tumors of tissues from the group consisting of salivary glands, thyroid gland, breast, ovary, prostate gland, brain, pancreas, gastrointestinal tract, urinary tract, and liver.

30

28. A method according to Claim 27 wherein tissues are from the group consisting of breast, ovary and prostate.

35

29. A method according to Claim 24 wherein the neoplastic disease is a breast adenocarcinoma and/or an ovarian adenocarcinoma.

-86-

30. A method according to Claim 20 which comprises the use of a sandwich assay wherein one antibody is to intact gp75 external domain on a human cancer cell line and the other antibody is to intact gp75 external domain on NIH3T3<sub>+</sub> cell line.
31. A method according to Claim 20 which comprises the use of a sandwich assay, ELISA assay or equivalent assay which can be unamplified or amplified using avidin/biotin technology.
32. A method for the determination of the presence of gp75 in mammalian body fluids wherein antibodies according to Claim 17 are employed.
33. A method according to Claim 20 wherein antigen in the sample of the human body fluid competes with a labeled gp75 or protein or polypeptide thereof for the binding to antibodies recognizing gp75.
34. A method according to Claim 33 wherein a sandwich method is performed using antibodies to the gp75 proteins and/or polypeptides.
35. A test kit for assaying gp75 in human body fluids which comprises:
- a) antibodies to gp75 proteins and/or polypeptides and/or antibodies to whole cells expressing c-erbB-2; and
  - b) a detection means.
36. A test kit for assaying gp75 proteins and/or polypeptides in human body fluids which comprises:

- a) gp75 proteins and/ r polypeptides and/or anti-idiotypic antibodies to gp75 proteins and/or polypeptides; and
  - 5 b) a detection means.
37. A vaccine comprising an immunogenic amount of one or more substantially pure, gp75 proteins and/or polypeptides dispersed in a physiologically acceptable, nontoxic vehicle, which amount is effective to immunize a human against neoplastic disease associated with amplification of c-erbB-2.
- 10
38. A vaccine comprising an immunogenic amount of cell membranes which express gp75 on their surface dispersed in a physiologically acceptable, nontoxic vehicle, which amount is effective to immunize a human against neoplastic disease associated with amplification of c-erbB-2.
- 15
39. A vaccine according to Claim 38 wherein the cell membranes are derived from cells that have been transformed to overexpress c-erbB-2 or from human cancer cell lines.
- 20
40. A vaccine according to Claim 39 wherein the cell membranes are derived from recombinant hosts transformed to overexpress a form of c-erbB-2 wherein the internal domain is truncated.
- 25
41. A fused protein or polypeptide comprising a gp75 protein or polypeptide and attached thereto an amino acid sequence of a protein or polypeptide which is not immunogenic in humans and which is not typically reactive to antibodies in human body fluids.
- 30
- 35

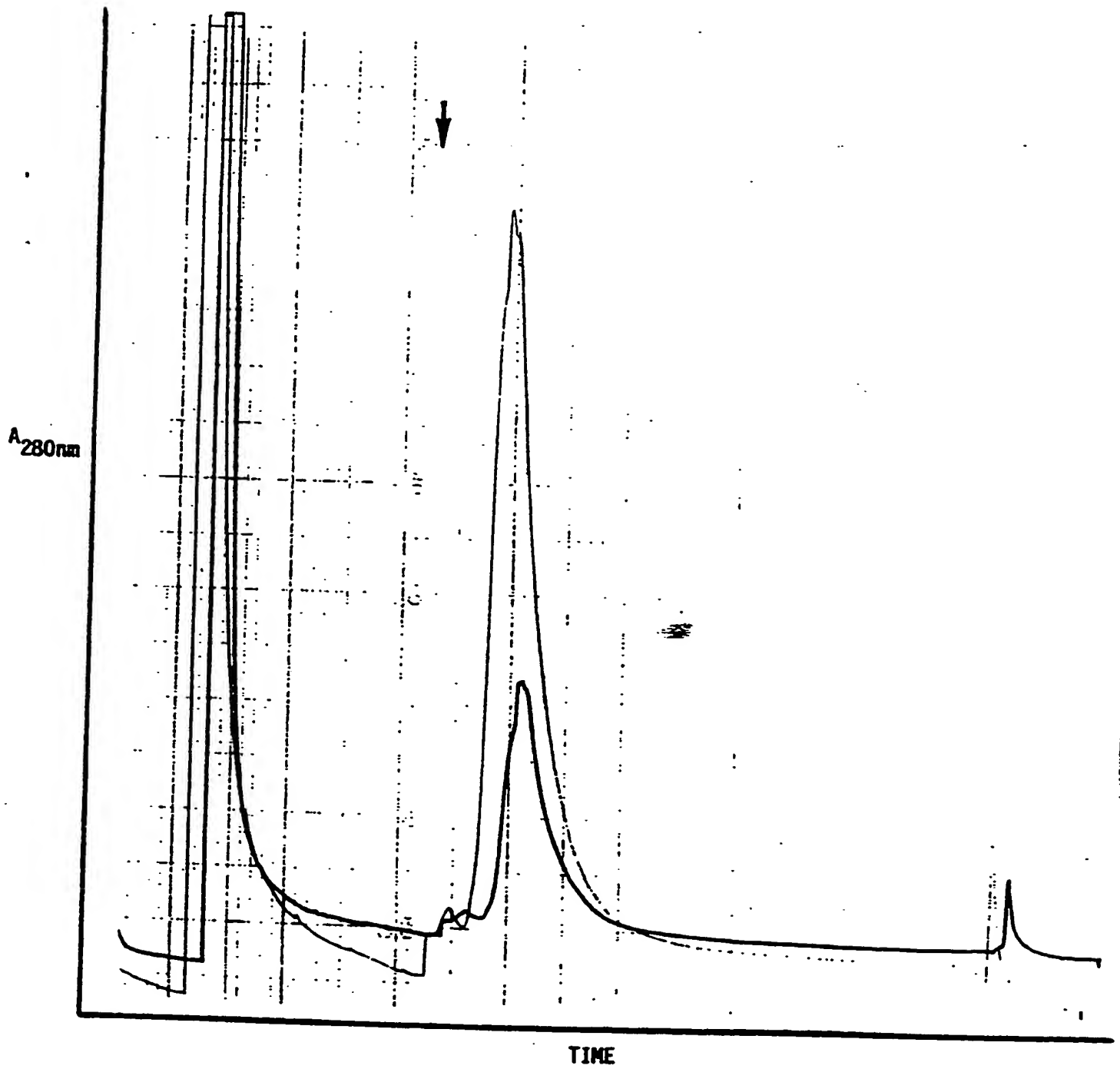
42. A purified and isolated DNA molecule comprising the DNA sequence that codes for gp75.
- 5 43. gp75 proteins and polypeptides which are prepared synthetically.
- 10 44. A method for screening for neoplastic disease, diagnosing neoplastic disease, monitoring the disease status of patients with neoplastic disease, or prognosticating the course of neoplastic disease comprising: detecting and quantitating the level of gp75 proteins and/or polypeptides, antibodies to gp75 protein and/or polypeptides, and ligand to c-erbB-2 correlating the detected levels; and classifying
- 15 patients as to their chances of long term survival or a time to relapse of the disease.
- 20 45. A method according to Claim 44 performed after an operation to remove a tumor wherein the presence of gp75 protein/polypeptides, antibodies thereto, and/or ligand to c-erbB-2 in the human body fluid is indicative of metastases.
- 25 46. A method of treating neoplastic disease associated with the amplification of c-erbB-2 comprising the administration of a therapeutically effective amount of gp75 protein and/or polypeptide dispersed in a physiologically acceptable, nontoxic vehicle.
- 30 47. A method according to Claim 46 further comprising the administration of a therapeutically effective amount of a chemotherapeutic agent or agents in conjunction with the administration of the gp75 protein and/or polypeptide.
- 35

48. A method according to Claim 47 wherein the chemotherapeutic agent or agents are alkylating agents.
- 5 49. A method according to Claim 47 wherein the chemotherapeutic agent or agents is or are selected from the group consisting of cisplatin, carboplatin and mephalan.
- 10 50. A method of treating neoplastic disease associated with the amplification of c-erbB-2 comprising the administration of a therapeutically effective amount of anti-idiotypic antibodies to a monoclonal antibody to gp75 protein and/or polypeptide dispersed in a  
15 physiologically acceptable, nontoxic vehicle.
51. A method according to Claim 33 wherein the gp75 protein and/or polypeptide is replaced by anti-idiotypic antibodies to a monoclonal antibody to  
20 gp75 protein and/or polypeptide.
52. A substantially pure glycoprotein or any portion thereof which is the ectodomain of the c-erbB-2 protein having a molecular weight of approximately 75  
25 kilodaltons when identified on SDS-PAGE.
53. The glycoprotein of Claim 52 which has been produced by recombinant DNA methods.
- 30 54. The glycoprotein of Claim 52 which has been further glycosylated and has a molecular weight of approximately 90-kilodaltons when identified on SDS-PAGE.



55. A diagnostic method for detecting the presence of human tumor cells which overexpress the c-erbB-2 external domain glycoprotein having a molecular weight of approximately 75 kilodaltons in a human body fluid which comprises:
- 5
- a) contacting the body fluid with an antibody having specificity for the glycoprotein; and
- 10 b) detecting the amount of the glycoprotein bound by the antibody, wherein an elevated level of binding above the binding level of normal cells indicates the presence of tumor cells that overexpress the c-erbB-2 external domain.
- 15
56. The method of Claim 55 wherein the antibody is a monoclonal.
57. The method of Claim 55 wherein the diagnostic method is in the form of a sandwich assay, a competition assay, a particle assay, a radiometric assay, an enzyme-linked immunosorbent assay, a radioimmunoprecipitation assay, or a fluorometric assay.
- 20
58. A method according to Claim 55 wherein the body fluid is serum, plasma, semen, breast exudate, saliva, urine or cerebrospinal fluid.
- 25
59. A method of treating a human host suspected of having cancer cells which comprises administering a therapeutically effective amount of an antibody to the c-erbB-2 ectodomain glycoprotein of approximately 75 kilodaltons.
- 30
- 35

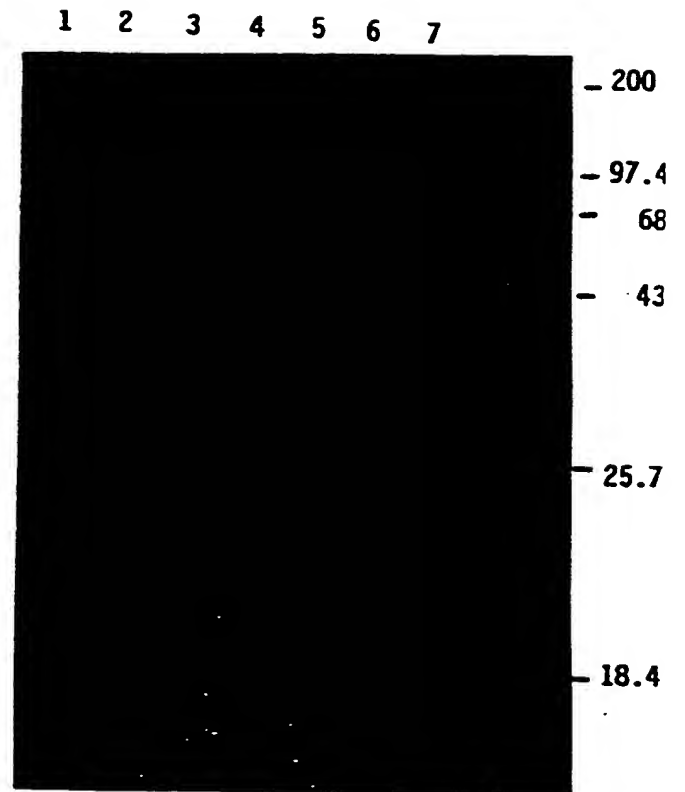
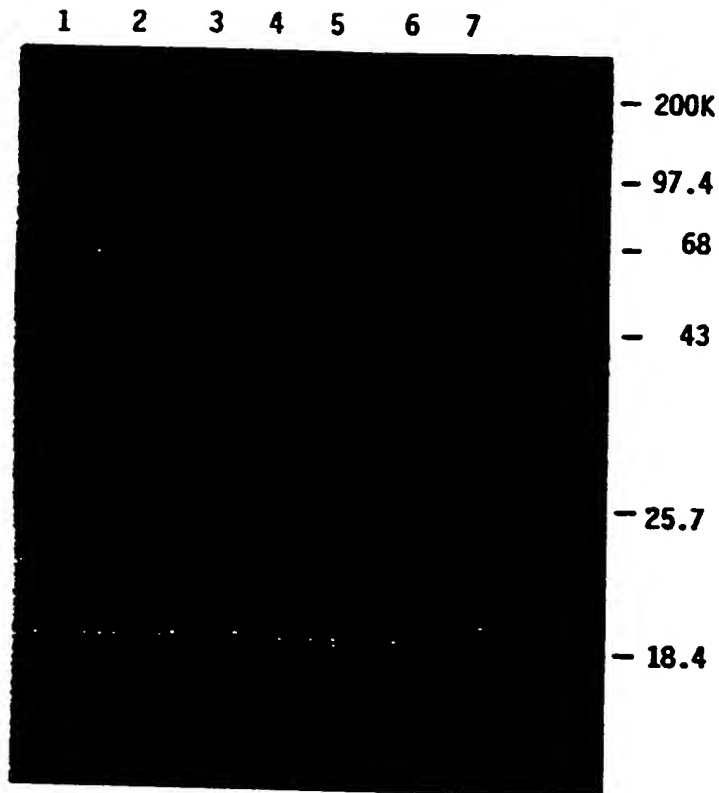
60. Anti-idiotypic antibodies to antibodies to gp75 proteins and/or polypeptides.
- 5 61. An assay to detect and quantitate ligand to c-erbB-2 in human body fluids employing gp75 proteins and/or polypeptides.
- 10 62. An assay to detect and quantitate antibodies to gp75 proteins and/or polypeptides in human body fluids employing gp75 proteins and/or polypeptides.
63. A process of purifying ligand to c-erbB-2 employing gp75 proteins and polypeptides.
- 15 64. Antibodies according to Claim 17 which are not cross-reactive with antibodies to the intact gp75 which is on the surface of c-erbB-2 expressing cells.



**Figure 1**

A

B



- 3 / 15

Figure 3

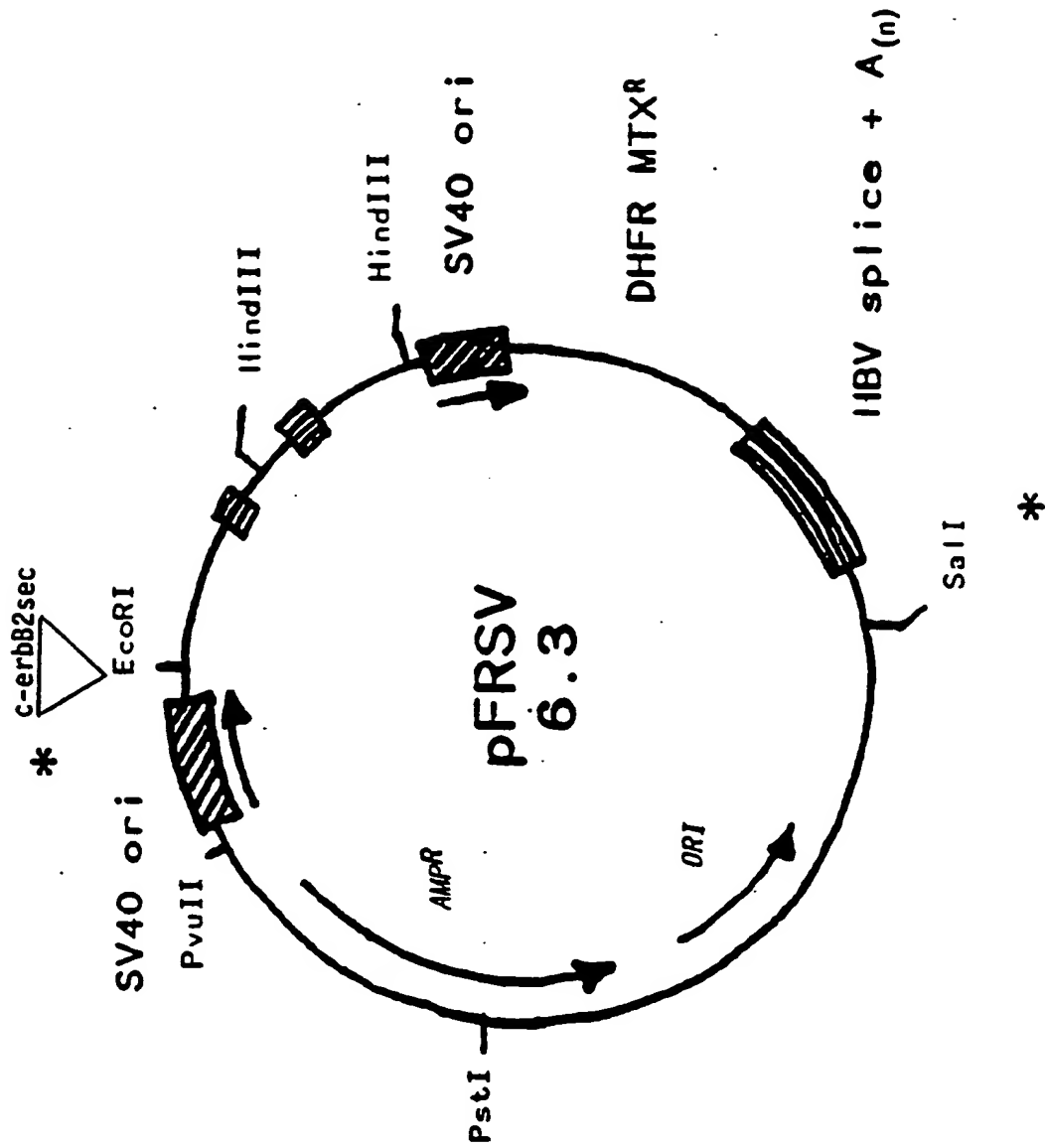


Figure 4

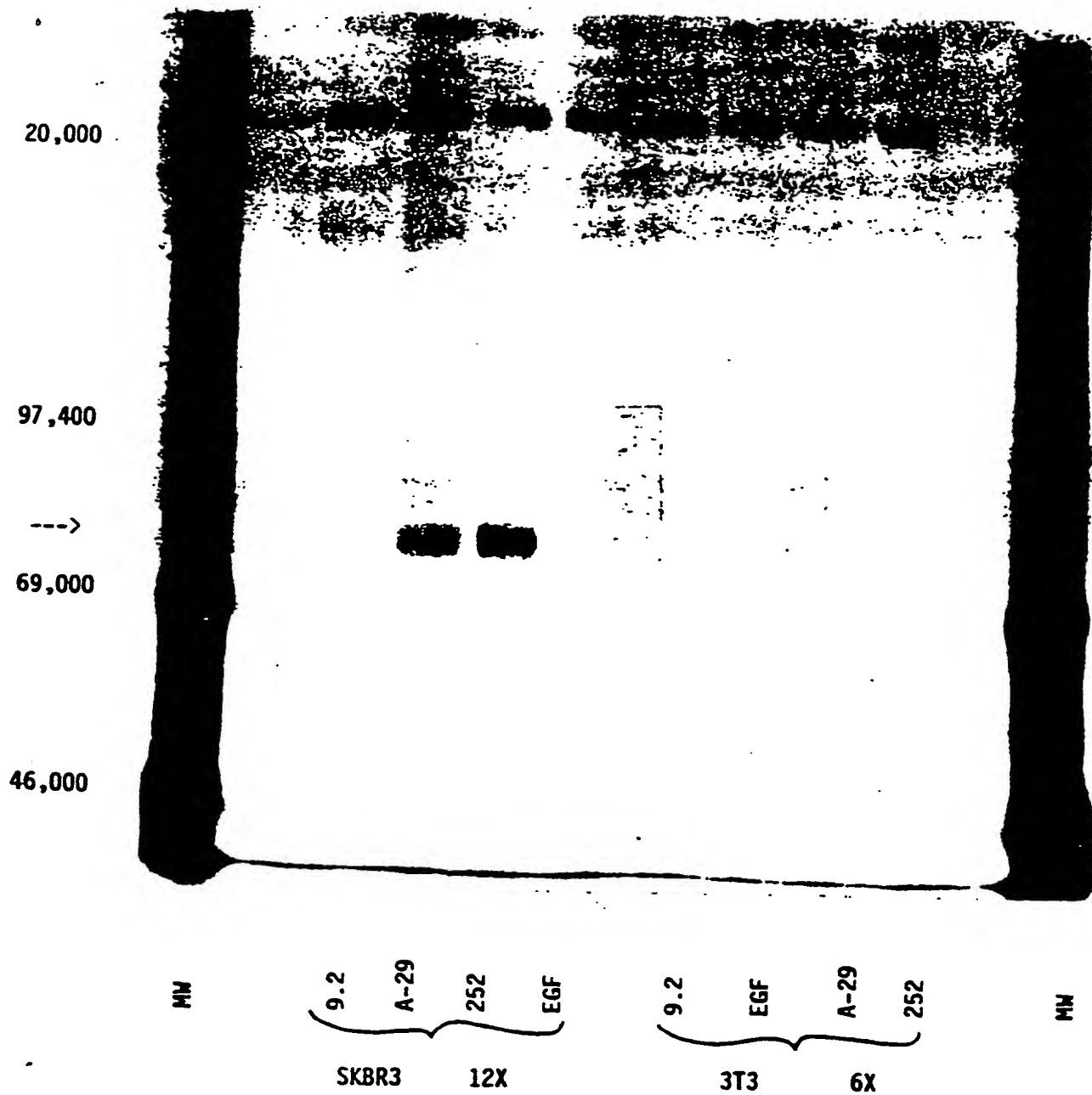


TA6 252

NIH3T3-c-erbB-2 lysate + S<sup>35</sup> labeled c1.4-3 supernatant

Figure 5

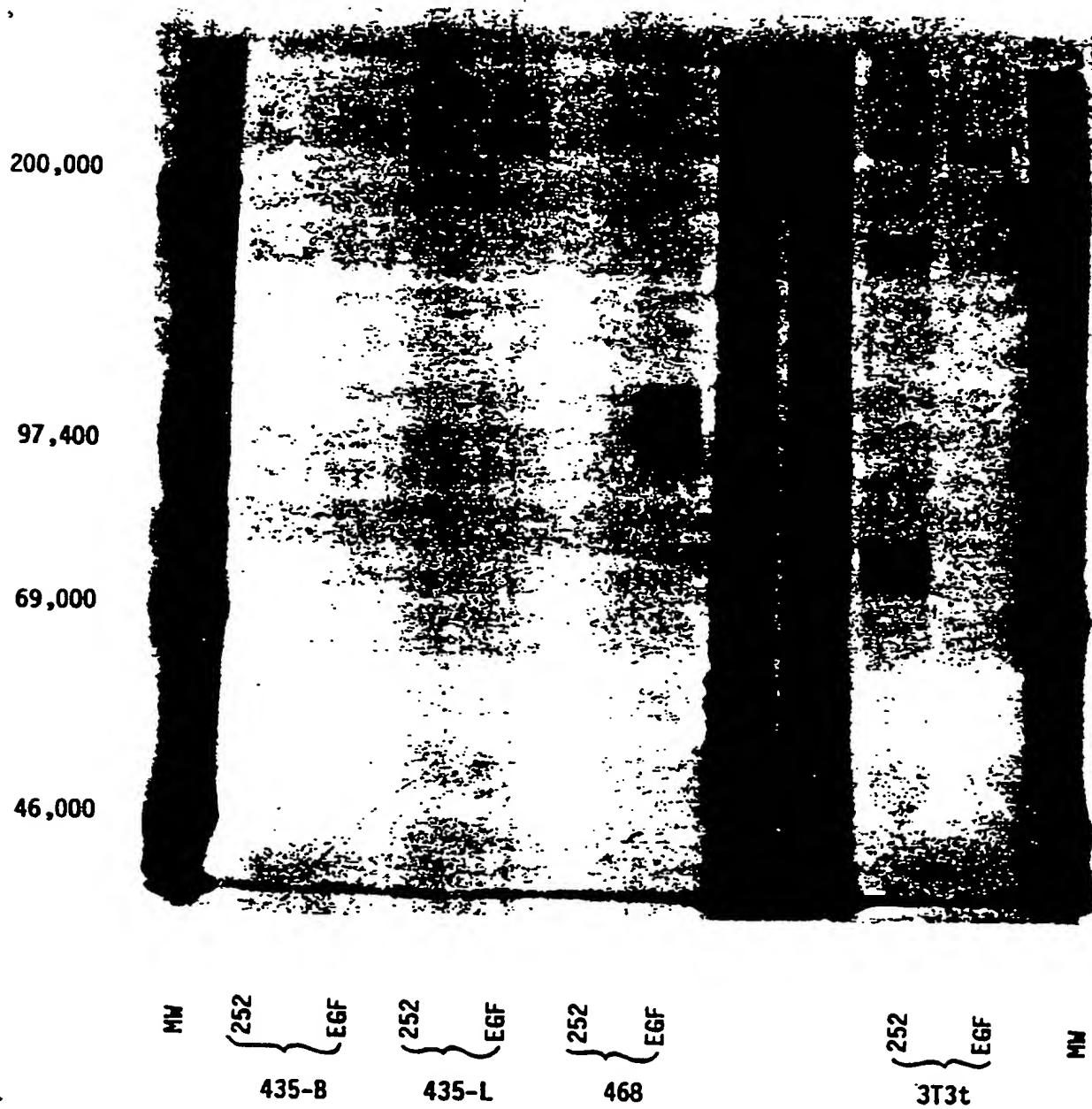
## Radioimmunoprecipitation of gp75 from SKBR3 Supernatant



- 6 / 15

Figure 6

## Radioimmunoprecipitation of Supernatants From Various Cell Lines





- 7 / 15

Comparison of Standards in Sandwich IRMA

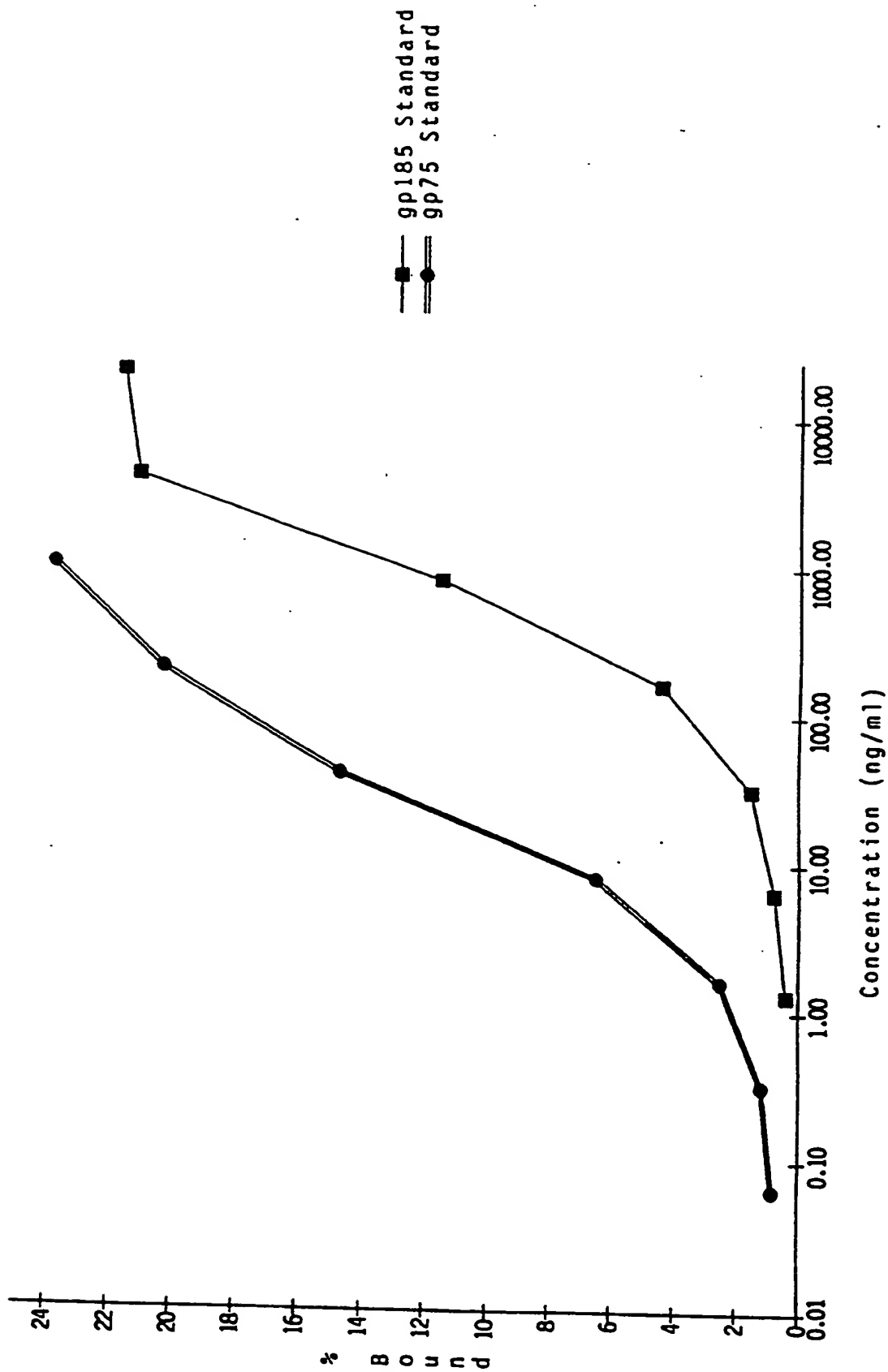


Figure 7

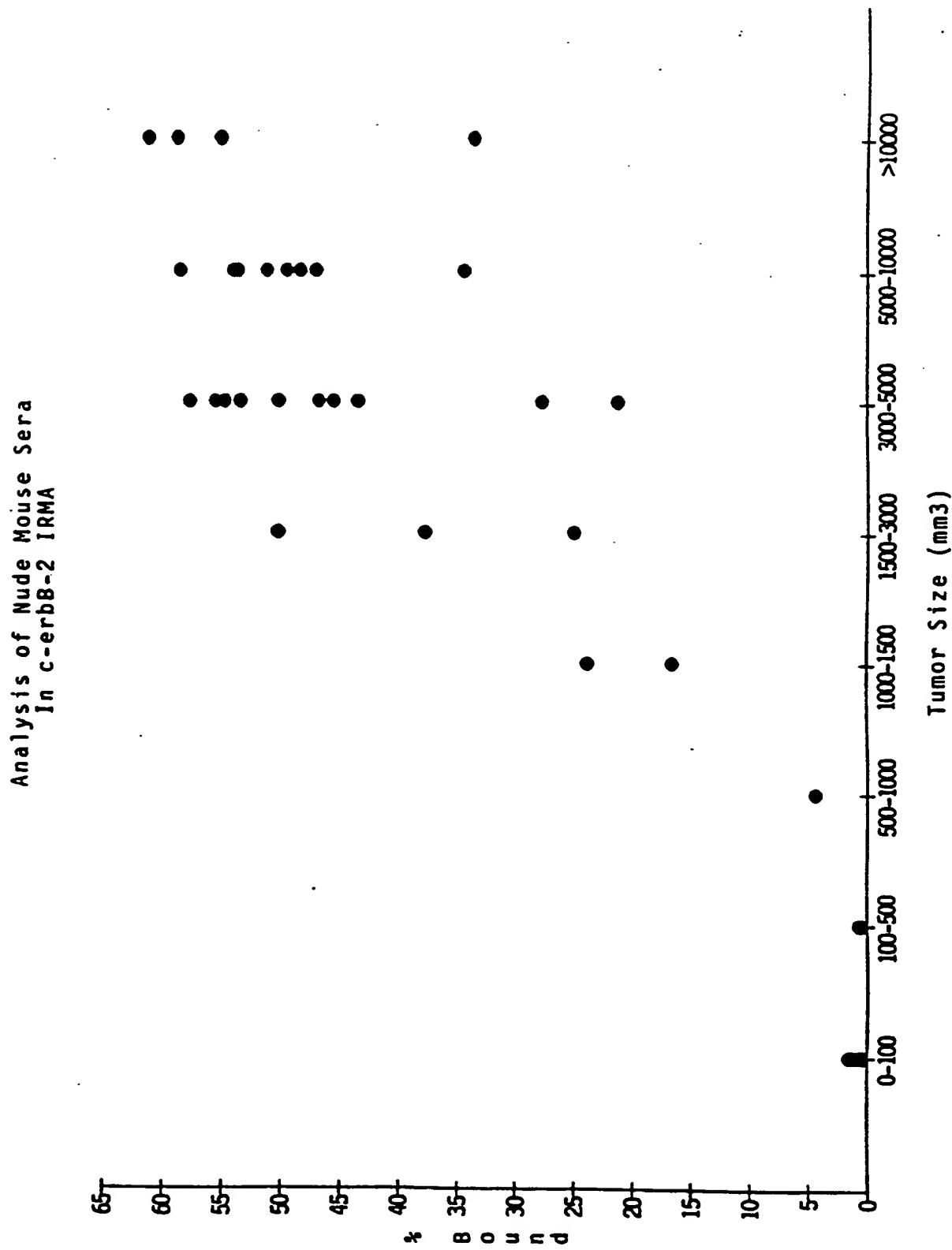
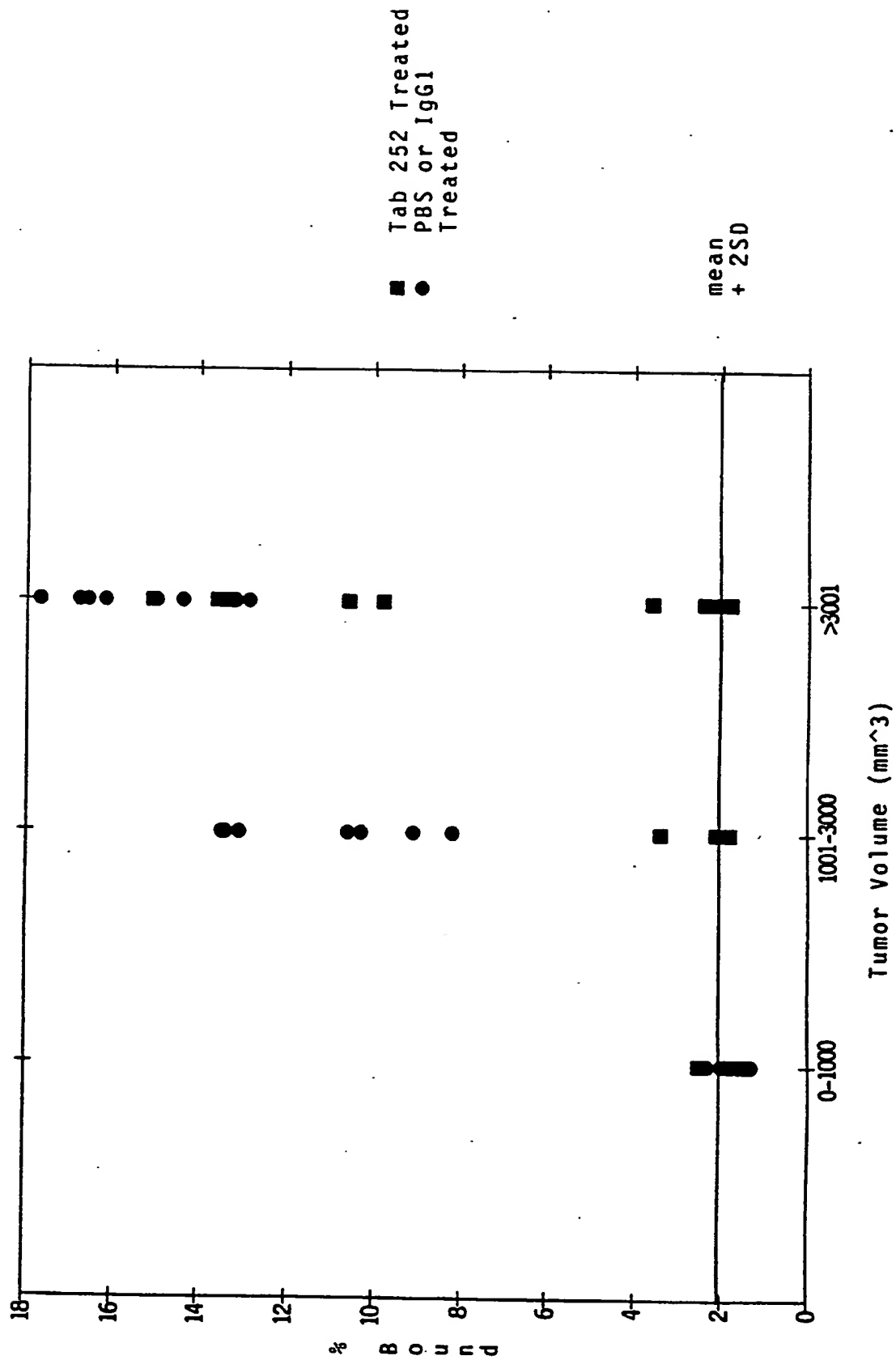


Figure 9

Analysis of Nude Mouse Sera in the c-erbB-2 IRMA  
Treated vs. Untreated



Analysis of Normal Human Sera  
in the c-erbB-2 IRMA

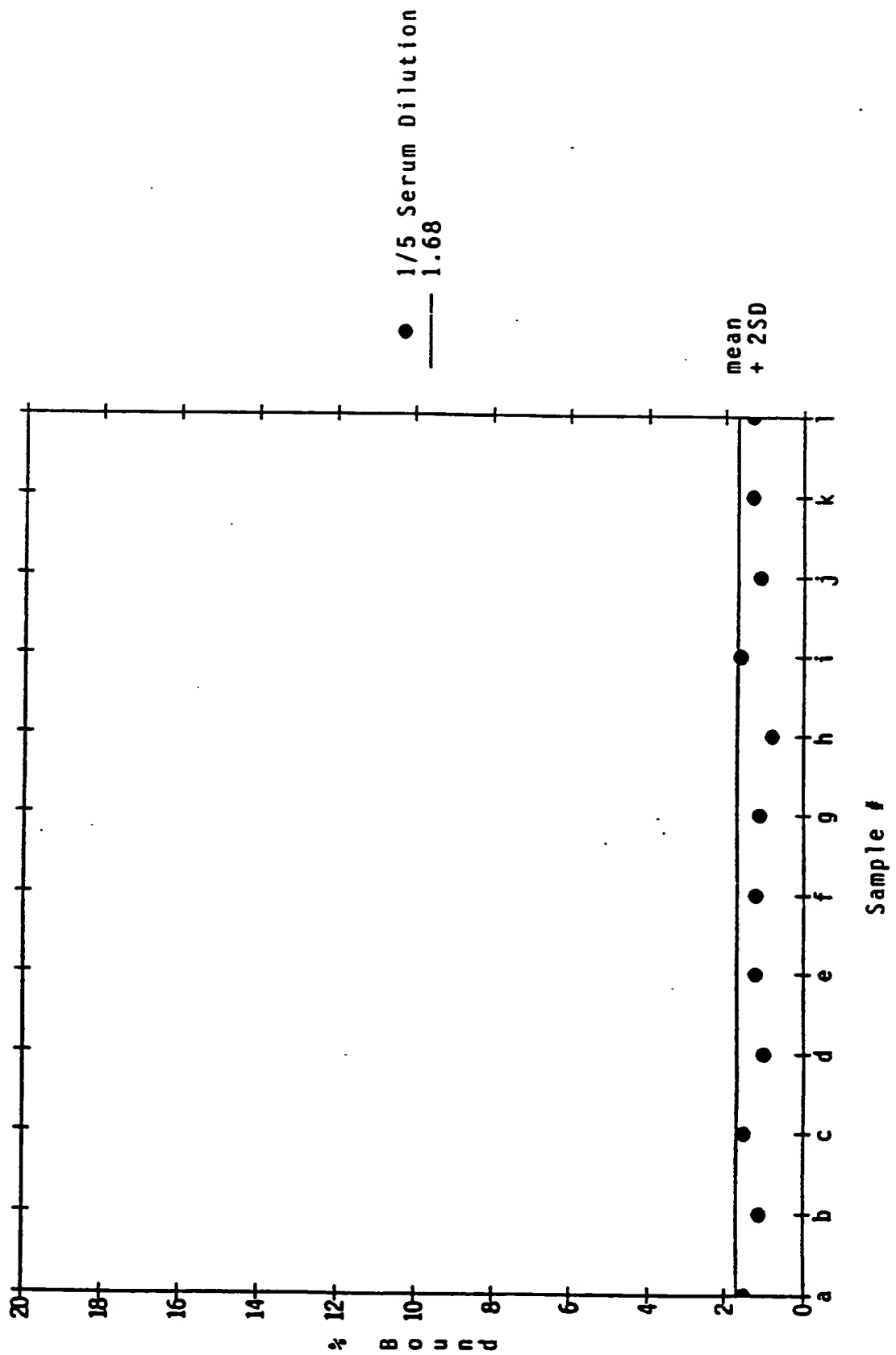


Figure 10

11 / 15

# Analysis of 20 Sera from Human Breast Cancer Patients Serial Samples Assayed in the Sandwich IRMA

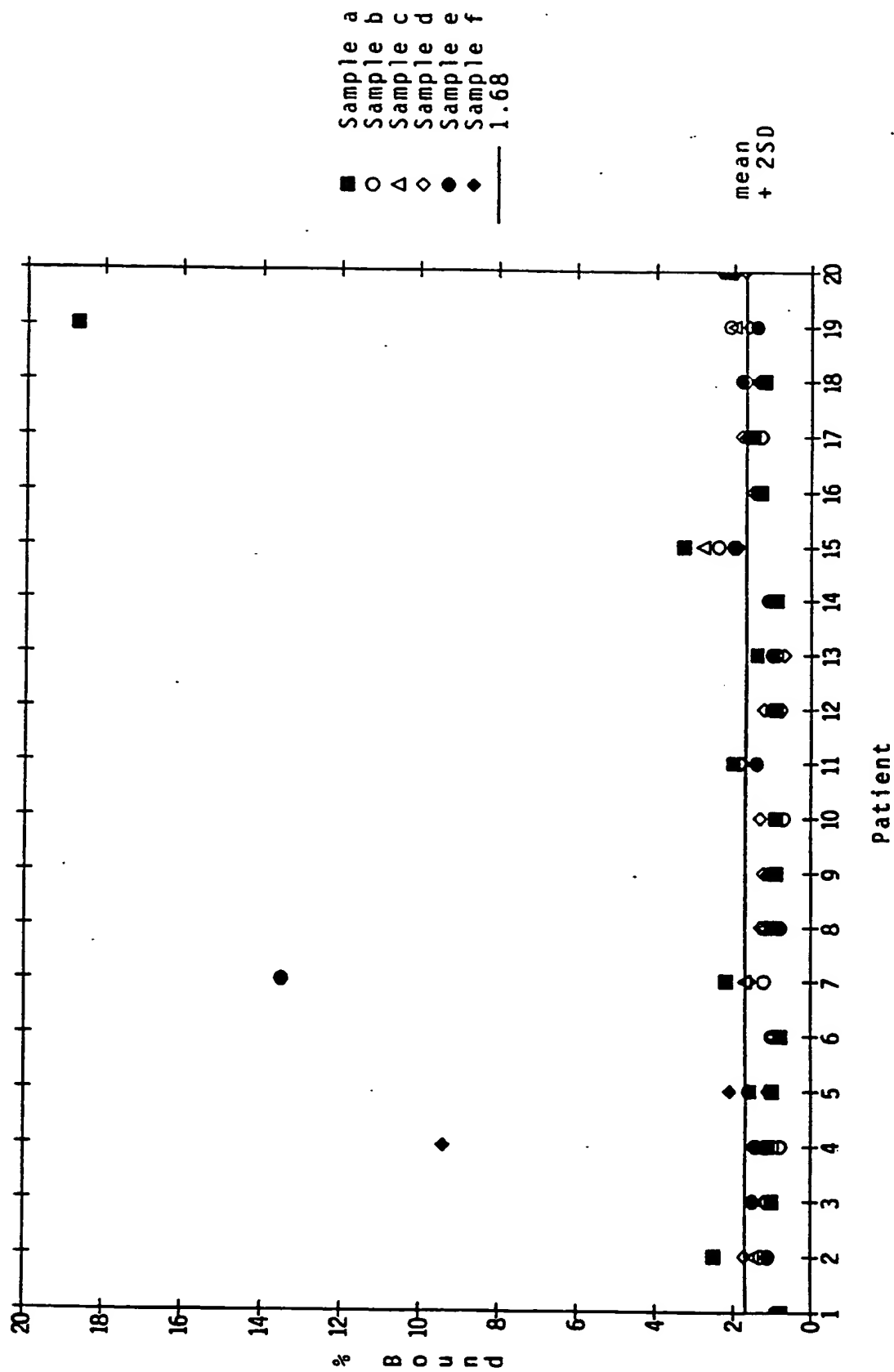


Figure 11

## Sandwich IRMA: Human Breast Sera and Control Sera

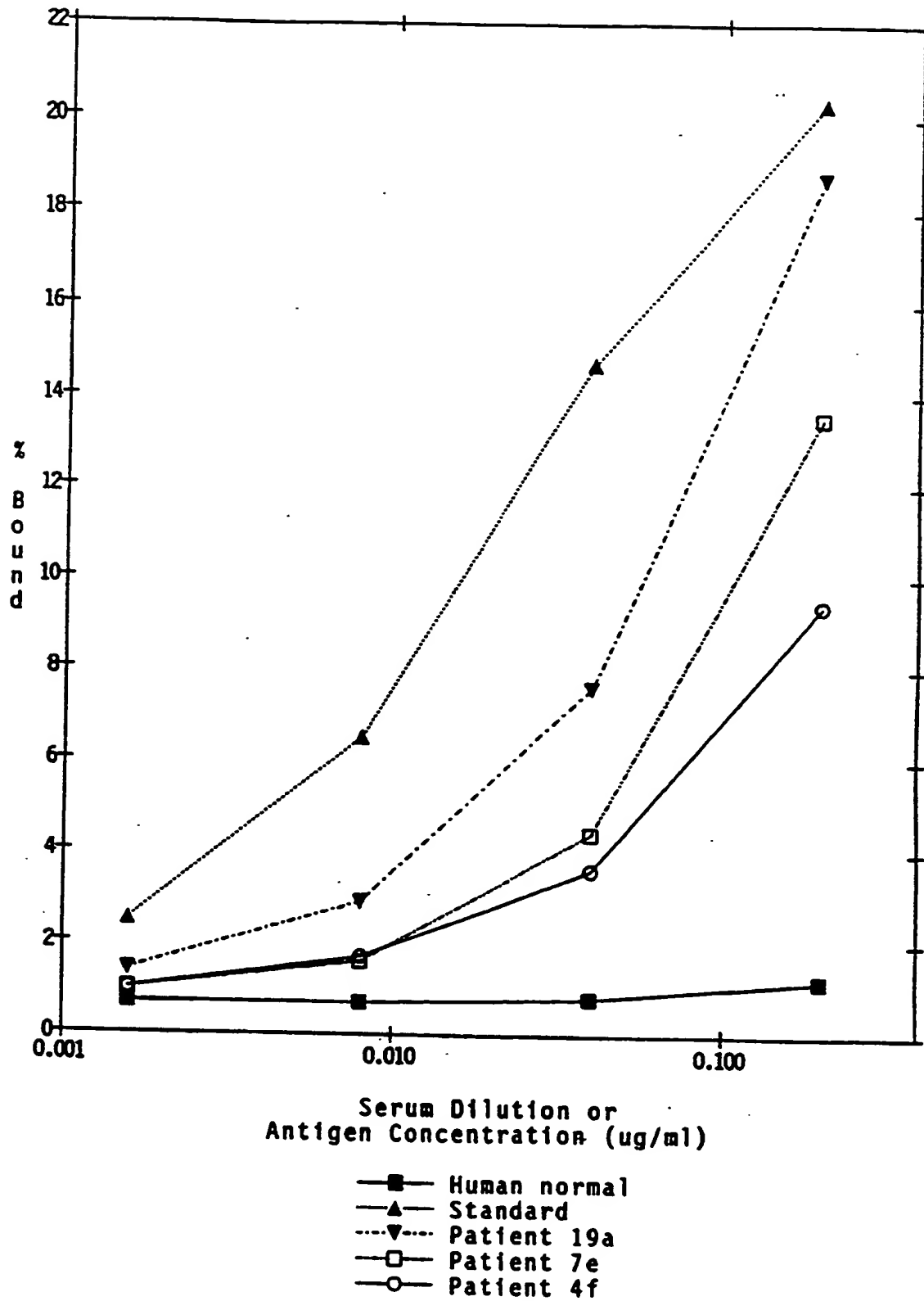


Figure 12

C-erbB-2 Competition ELISA  
TAB 251 Binding to NIH3T3t Lysate

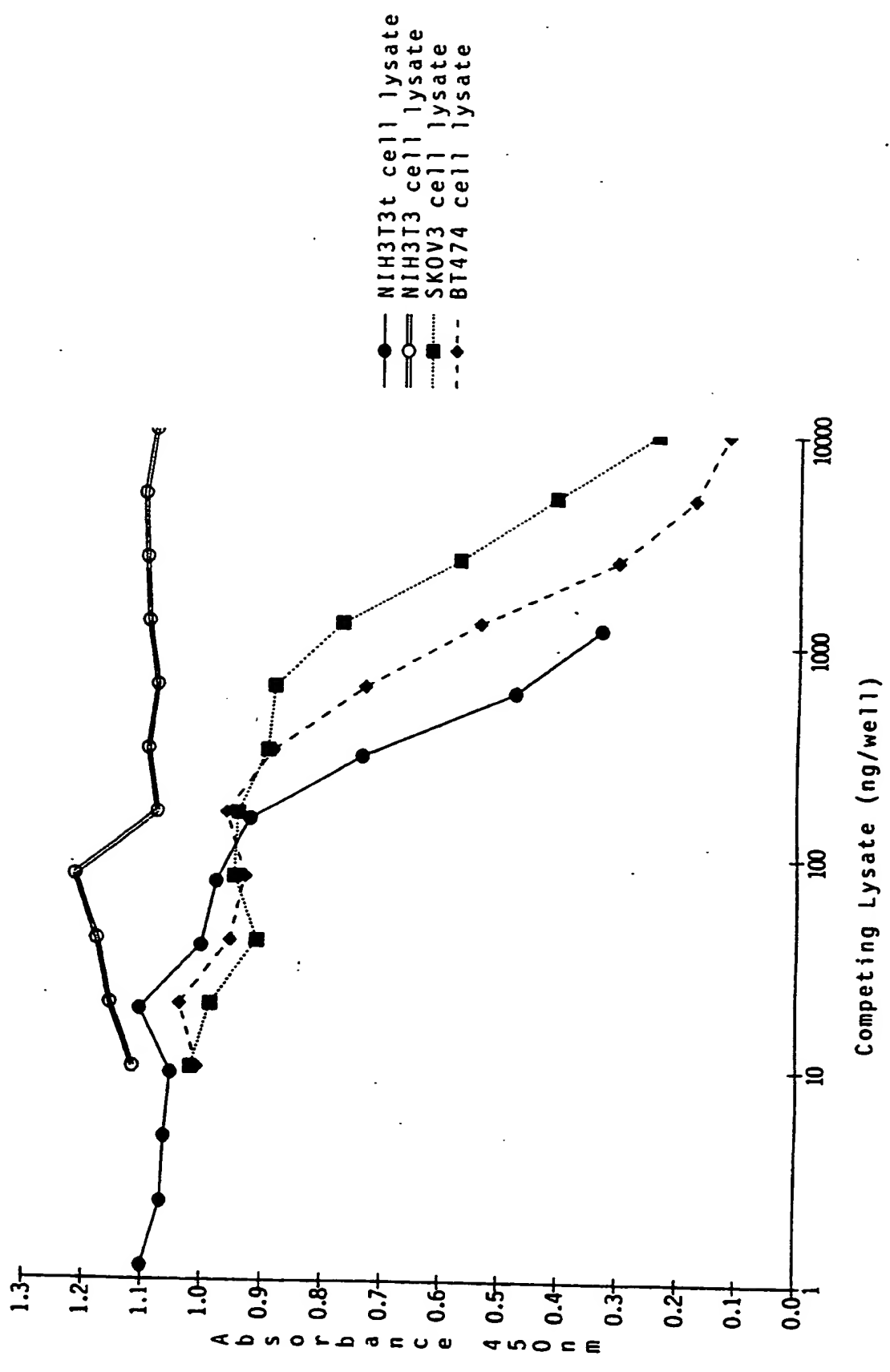


Figure 13

C-erbB-2 Competition ELISA  
TAB 251 Binding to NIH3T3t Lysate

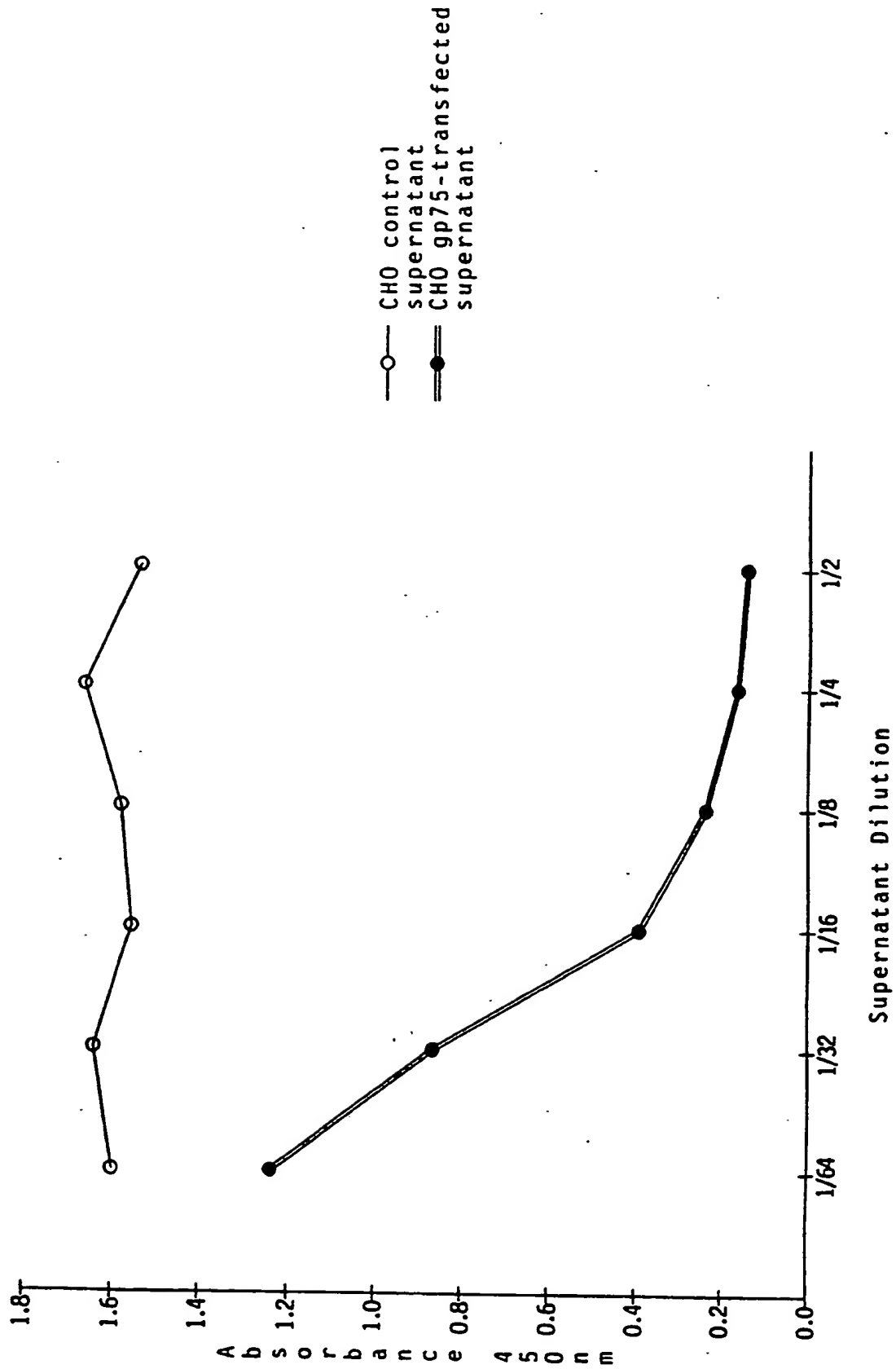


Figure 14



C-erbB-2 Competition ELISA  
 TAB 251 Binding to NIH3T3t Lysate

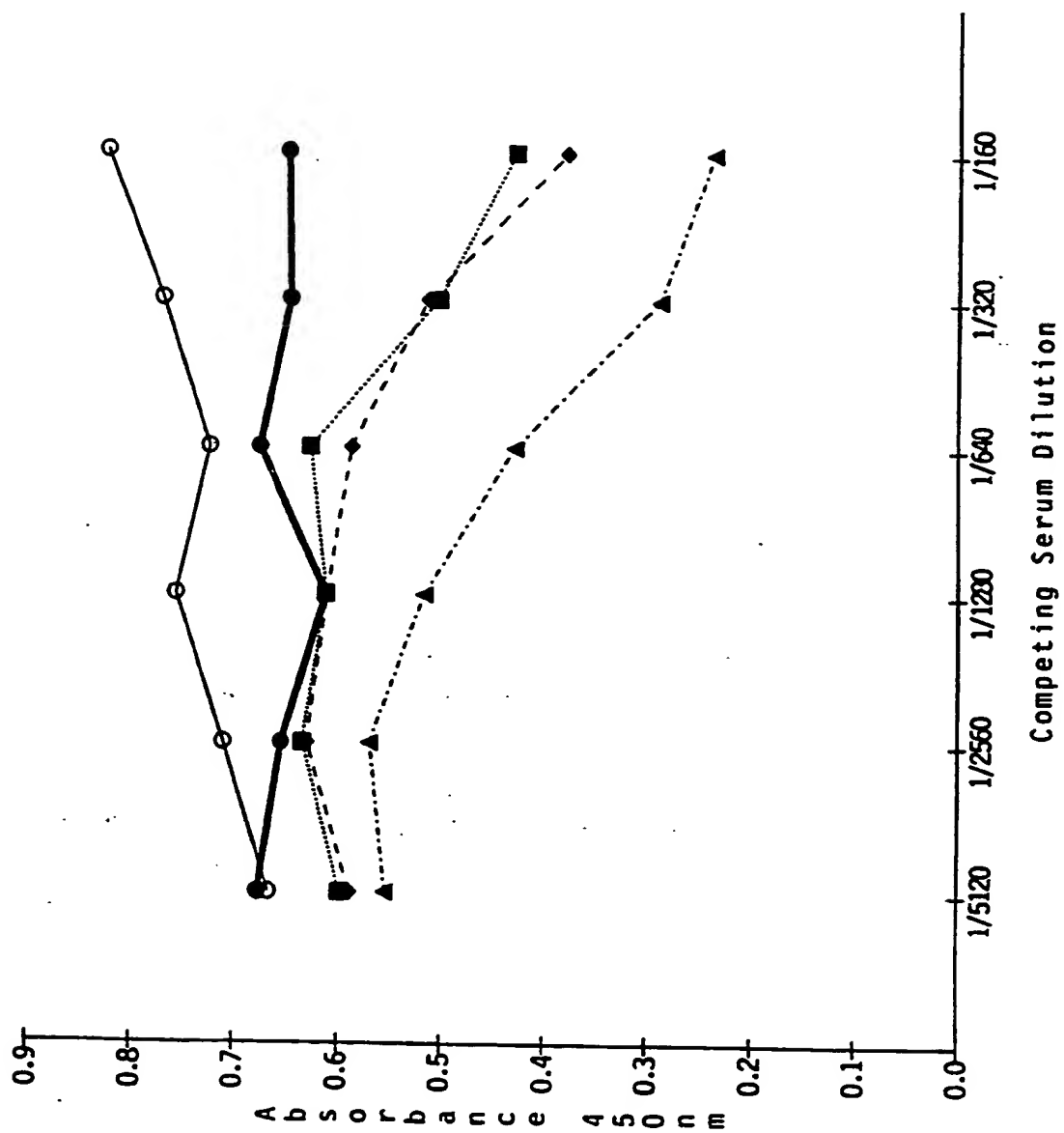


Figure 15

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>5</sup> :</p> <p>C12N 15/12, 9/12, 15/62, 1/19, 1/21, 5/10 C12N 15/63, G01N 35/53, A61K 39/00 A61K 39/395, 37/02, C07K 13/00 C07K 15/00, C12P 21/08</p>	A3	<p>(11) International Publication Number: <b>WO 91/02062</b></p> <p>(43) International Publication Date: 21 February 1991 (21.02.91)</p>
--	----	--

(21) International Application Number: PCT/US90/04340  
(22) International Filing Date: 2 August 1990 (02.08.90)

(30) Priority data:  
389,920 4 August 1989 (04.08.89) US

(71) Applicant: TRITON BIOSCIENCES, INC. [US/US];  
1501 Harbor Bay Parkway, Alameda, CA 94501 (US).

(72) Inventors: STUART, Susan, G. ; 1256 Birch Street, Montara, CA 94037 (US). MONAHAN, John, J. ; 19 Tara-brook Drive, Orinda, CA 94563 (US). LANGTON, Bea-trice, Claudia ; 111 Amigo Lane, Walnut Creek, CA 94596 (US). HANCOCK, Miriam, E., C. ; 717 Carlston Avenue, Oakland, CA 94610 (US). CHAO, Lorraine, A. ; 355 Serrano Drive #6F, San Francisco, CA 94132 (US). BLUFORD, Peter ; 5319 Conestoga Way, Richmond, CA 94803 (US).

(74) Agent: PARKER, David, L.; Arnold, White & Durkee,  
P.O. Box 4433, Houston, TX 77210 (US).

(81) Designated States: AT (European patent), AU, BE (Euro-pean patent), CA, CH (European patent), DE (Euro-pean patent)\*, DK (European patent), ES (European pa-tent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (Eu-ropean patent), SE (European patent).

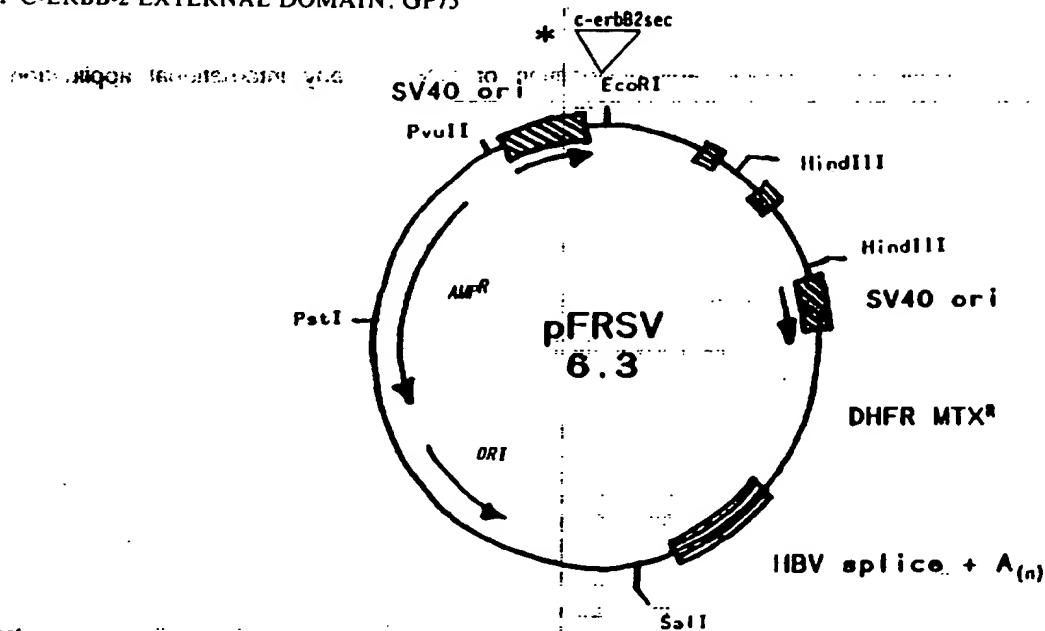
**Published**

*With international search report.*

*Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.*

(88) Date of publication of the international search report:  
13 June 1991 (13.06.91)

(54) Title: C-ERBB-2 EXTERNAL DOMAIN: GP75

**(57) Abstract**

Disclosed are methods and compositions for identifying malignant tumors that overexpress the c-erbB-2 oncogene. Assays useful for diagnosis and prognosis of neoplastic disease are provided which detect the external domain of c-erbB-2, the glycoprotein gp75 and quantitate the level of gp75 in the biological fluids of mammals carrying a tumor burden. Further disclosed are recombinant, synthetically and otherwise biologically produced novel proteins and polypeptides which are encoded by the external domain DNA sequence of the c-erbB-2 oncogene (the gp75 gene) or fragments thereof. Such gp75 proteins and polypeptides are useful as vaccines, therapeutically in the treatment of cancer either alone or in combination with chemotherapeutic agents. Also disclosed are antibodies to such gp75 proteins and polypeptides which are useful diagnostically and therapeutically. Still further disclosed are test kits embodying the assays of this invention.

### DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

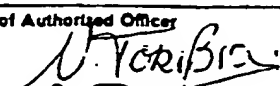
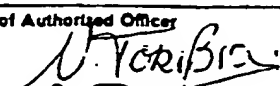
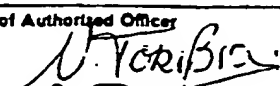
#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	ML	Mali
AU	Australia	FR	France	MN	Mongolia
BB	Barbados	GA	Gabon	MR	Mauritania
BE	Belgium	GB	United Kingdom	MW	Malawi
BF	Burkina Faso	CN	Guinea	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SN	Senegal
CH	Switzerland	LI	Liechtenstein	SU	Soviet Union
CI	Côte d'Ivoire	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TC	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark	MG	Madagascar		
ES	Spain				

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 90/04340

<b>I. CLASSIFICATION F SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC C 12 N 15/12, 9/12, 15/62, 1/19, 1/21, 5/10, 15/63, IPC <sup>5</sup> : G 01 N 35/53, A 61 K 39/00, 39/395, 37/02, ./																	
<b>II. FIELDS SEARCHED</b> <div style="text-align: right; font-size: small;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border: none;"> <tr> <td style="width: 30%; border: none;">Classification System</td> <td style="border: none;">Classification Symbols</td> </tr> <tr> <td style="border: none; padding: 10px;">IPC<sup>5</sup></td> <td style="border: none; padding: 10px;">C 12 N, C 07 K</td> </tr> </table> <div style="text-align: center; font-size: x-small; margin-top: 5px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	IPC <sup>5</sup>	C 12 N, C 07 K											
Classification System	Classification Symbols																
IPC <sup>5</sup>	C 12 N, C 07 K																
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th style="width: 10%;">Category <sup>9</sup></th> <th style="width: 60%;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 30%;">Relevant to Claim No. <sup>13</sup></th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;">A</td> <td style="vertical-align: top;">Trends Genet., vol. 4, no. 9, September 1988, B.M. Sefton: "Neus about c-erb-B-2 and HER2", pages 247-248 see page 247, columns 2,3 --</td> <td style="vertical-align: top; text-align: center;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">Nature, vol. 319, no. 6050, 16 January 1986, C.I. Bargmann et al.: "The neu oncogene encodes an epidermal growth factor receptor-related protein", pages 226-230 see the whole article; particularly pages 227-228 and figures 2-4 --</td> <td style="vertical-align: top; text-align: center;">11-16, 19, 42, 52-54</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">Nature, vol. 319, no. 6050, 16 January 1986, T. Yamamoto et al.: "Similarity of protein encoded by the human c-erb-B-2 gene to epidermal growth factor receptor", pages 230-234 see the whole article; particularly figures 2,3 --</td> <td style="vertical-align: top; text-align: center;">11-16, 19, 42, 52-54</td> </tr> <tr> <td colspan="2" style="text-align: center; vertical-align: bottom;">./.</td> <td></td> </tr> </tbody> </table>			Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	A	Trends Genet., vol. 4, no. 9, September 1988, B.M. Sefton: "Neus about c-erb-B-2 and HER2", pages 247-248 see page 247, columns 2,3 --	1	X	Nature, vol. 319, no. 6050, 16 January 1986, C.I. Bargmann et al.: "The neu oncogene encodes an epidermal growth factor receptor-related protein", pages 226-230 see the whole article; particularly pages 227-228 and figures 2-4 --	11-16, 19, 42, 52-54	X	Nature, vol. 319, no. 6050, 16 January 1986, T. Yamamoto et al.: "Similarity of protein encoded by the human c-erb-B-2 gene to epidermal growth factor receptor", pages 230-234 see the whole article; particularly figures 2,3 --	11-16, 19, 42, 52-54	./.		
Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>															
A	Trends Genet., vol. 4, no. 9, September 1988, B.M. Sefton: "Neus about c-erb-B-2 and HER2", pages 247-248 see page 247, columns 2,3 --	1															
X	Nature, vol. 319, no. 6050, 16 January 1986, C.I. Bargmann et al.: "The neu oncogene encodes an epidermal growth factor receptor-related protein", pages 226-230 see the whole article; particularly pages 227-228 and figures 2-4 --	11-16, 19, 42, 52-54															
X	Nature, vol. 319, no. 6050, 16 January 1986, T. Yamamoto et al.: "Similarity of protein encoded by the human c-erb-B-2 gene to epidermal growth factor receptor", pages 230-234 see the whole article; particularly figures 2,3 --	11-16, 19, 42, 52-54															
./.																	
<sup>10</sup> Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "A" document member of the same patent family															
<b>IV. CERTIFICATION</b> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">           Date of the Actual Completion of the International Search   <div style="text-align: center;">2nd January 1991</div> </td> <td style="width: 50%; border: none;">           Date of Mailing of this International Search Report   <div style="text-align: center;">6 02 91</div> </td> </tr> <tr> <td style="border: none;">           International Searching Authority   <div style="text-align: center;">EUROPEAN PATENT OFFICE</div> </td> <td style="border: none;">           Signature of Authorized Officer   <div style="text-align: center;">  </div> </td> </tr> </table>			Date of the Actual Completion of the International Search  <div style="text-align: center;">2nd January 1991</div>	Date of Mailing of this International Search Report  <div style="text-align: center;">6 02 91</div>	International Searching Authority  <div style="text-align: center;">EUROPEAN PATENT OFFICE</div>	Signature of Authorized Officer  <div style="text-align: center;">  </div>											
Date of the Actual Completion of the International Search  <div style="text-align: center;">2nd January 1991</div>	Date of Mailing of this International Search Report  <div style="text-align: center;">6 02 91</div>																
International Searching Authority  <div style="text-align: center;">EUROPEAN PATENT OFFICE</div>	Signature of Authorized Officer  <div style="text-align: center;">  </div>																

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 90/04340<sup>-2-</sup>

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC <sup>5</sup> : C 07 K 13/00, 15/00, C 12 P 21/08																	
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%; text-align: left; border-bottom: 1px solid black;">Classification System</th> <th style="width: 70%; text-align: left; border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="padding: 5px;">IPC<sup>5</sup></td> <td style="padding: 5px;">C 12 N, C 07 K</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	IPC <sup>5</sup>	C 12 N, C 07 K											
Classification System	Classification Symbols																
IPC <sup>5</sup>	C 12 N, C 07 K																
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; text-align: left; border-bottom: 1px solid black;">Category <sup>10</sup></th> <th style="width: 70%; text-align: left; border-bottom: 1px solid black;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 20%; text-align: left; border-bottom: 1px solid black;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="vertical-align: top; padding: 5px;">X</td> <td style="vertical-align: top; padding: 5px;">WO, A, 87/07646 (WHITEHEAD INSTITUTE FOR BIOMEDICAL RESEARCH) 17 December 1987 see claims 11-14; figure 1</td> <td style="vertical-align: top; padding: 5px;">1, 3, 11, 12, 42</td> </tr> <tr> <td style="vertical-align: top; padding: 5px;">P, X</td> <td style="vertical-align: top; padding: 5px;">Symposia on Molecular and Cellular Biology, 3-8 February 1990, J. Cell. Biochem. Suppl. 0 (14 Part B), page 342 see abstract CF 203 and abstract CF204</td> <td style="vertical-align: top; padding: 5px;">20-29, 32-35, 55</td> </tr> <tr> <td style="vertical-align: top; padding: 5px;">X</td> <td style="vertical-align: top; padding: 5px;">Chemical Abstracts, vol. 107, 1987, (Columbus, Ohio, US), see page 570, abstract 174203m, &amp; JP, A, 62 108 157 (AJINOMOTO CO., INC.) 19 May 1987</td> <td style="vertical-align: top; padding: 5px;">20, 35</td> </tr> <tr> <td colspan="3" style="height: 100px; vertical-align: bottom; text-align: center; padding: 10px;">./.</td> </tr> </table>			Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	WO, A, 87/07646 (WHITEHEAD INSTITUTE FOR BIOMEDICAL RESEARCH) 17 December 1987 see claims 11-14; figure 1	1, 3, 11, 12, 42	P, X	Symposia on Molecular and Cellular Biology, 3-8 February 1990, J. Cell. Biochem. Suppl. 0 (14 Part B), page 342 see abstract CF 203 and abstract CF204	20-29, 32-35, 55	X	Chemical Abstracts, vol. 107, 1987, (Columbus, Ohio, US), see page 570, abstract 174203m, & JP, A, 62 108 157 (AJINOMOTO CO., INC.) 19 May 1987	20, 35	./.		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>															
X	WO, A, 87/07646 (WHITEHEAD INSTITUTE FOR BIOMEDICAL RESEARCH) 17 December 1987 see claims 11-14; figure 1	1, 3, 11, 12, 42															
P, X	Symposia on Molecular and Cellular Biology, 3-8 February 1990, J. Cell. Biochem. Suppl. 0 (14 Part B), page 342 see abstract CF 203 and abstract CF204	20-29, 32-35, 55															
X	Chemical Abstracts, vol. 107, 1987, (Columbus, Ohio, US), see page 570, abstract 174203m, & JP, A, 62 108 157 (AJINOMOTO CO., INC.) 19 May 1987	20, 35															
./.																	
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>14</sup> Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>																	
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;">Date of the Actual Completion of the International Search</td> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;">Date of Mailing of this International Search Report</td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 5px;">International Searching Authority</td> <td style="border-bottom: 1px solid black; padding: 5px;">Signature of Authorized Officer</td> </tr> <tr> <td style="text-align: center; padding: 5px;">EUROPEAN PATENT OFFICE</td> <td></td> </tr> </table>			Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	International Searching Authority	Signature of Authorized Officer	EUROPEAN PATENT OFFICE										
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report																
International Searching Authority	Signature of Authorized Officer																
EUROPEAN PATENT OFFICE																	

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

- |   |   |    |
|---|---|----|
| X | Symposium on Monoclonal Antibodies for Therapy, Prevention and In Vivo Diagnosis of Human Disease, Utrecht, 17-19 May 1989, S. Karger, (Basel, CH), K.M. Price et al.: "The production and characterisation of monoclonal antibodies to myc, c-erbB-2 and EGF-receptor using a synthetic peptide approach", pages 23-32<br>see the whole article<br>----- | 35 |
|---|---|----|

VI. ☒ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE or partially unsearchable

This International search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers ..... because they relate to subject matter not required to be searched by this Authority, namely:

\* - claims 18, 46-50, 59  
see PCT - Rule 39.1(IV) methods for treatment of the human or animal body by surgery or therapy, as well as diagnostic methods.

2. ☒ Claim numbers ..... because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

\* - claims 20-34, 44-45, 51, 55-58, 61-62:  
methods of in vitro diagnosis only searched

3. ☐ Claim numbers ..... because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

US 9004340  
SA 40328

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 25/01/91  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A- 8707646	17-12-87	US-A- 4935341	19-06-90
-----			